

Leveraging Mass Spectrometry Analytical Methods in FDA Cell & Gene Therapy Regulatory Submissions:

Current Uses and Future Opportunities

Tiffany Lucas, PhD Principal Consultant

Regulatory Clarity – thought to finish.

Overview: FDA Regulatory Cell & Gene Therapy

What are Cell & Gene Therapies (CGT)

FDA expectations for analytical methods for drug substance and product testing

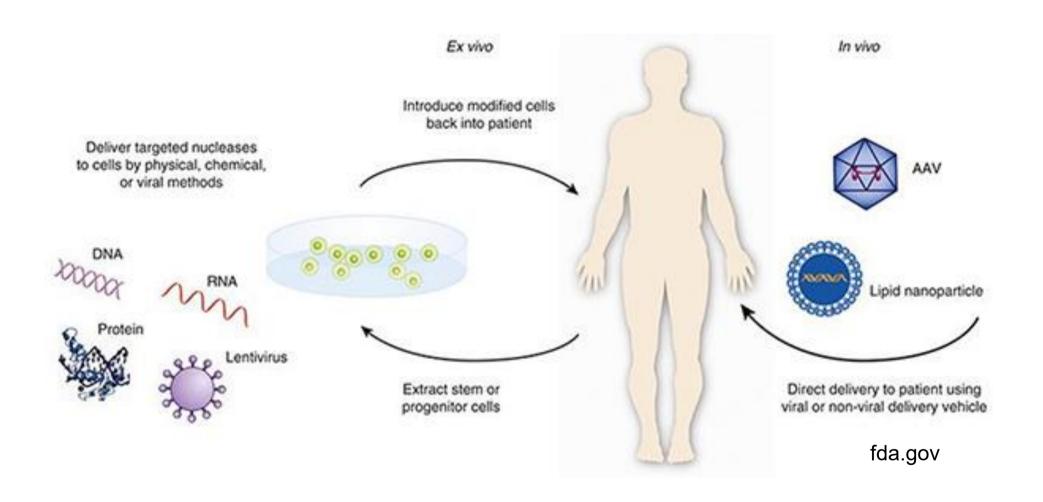
CGT analytical test methods from Investigational New Drug (IND) to Biologics Licensing Application (BLA) validation

3 Examples of Mass Spec use in CGT

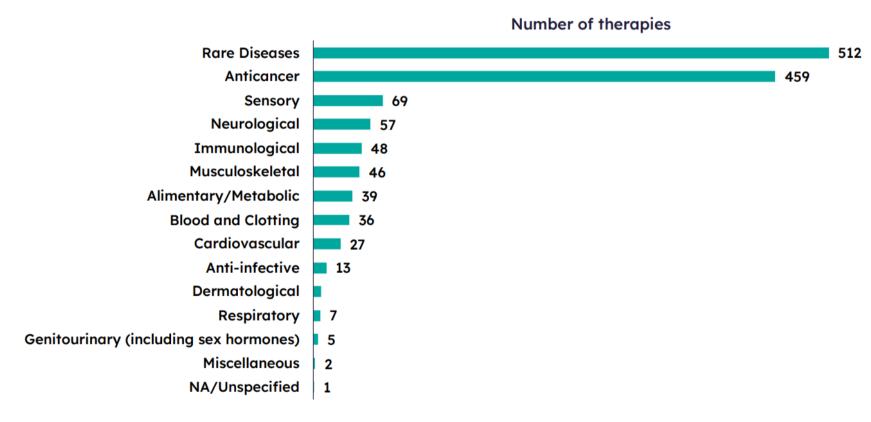


Cell & Gene Therapy is Diverse

- Cells, viral vectors, gene editing enzymes, mRNA
- No single method for making CGTs



Therapies in the clinic (excludes preclinical development)



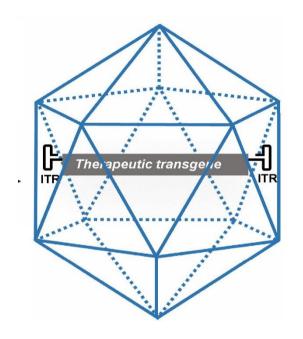
Figures based on indications in pipeline development only for each therapy

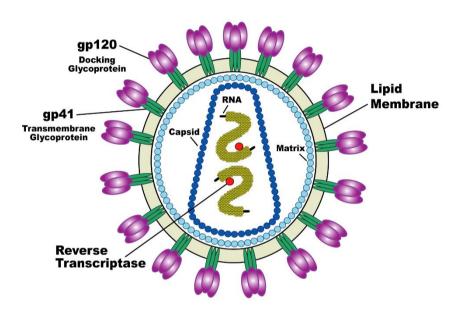
Source: Pharmaprojects | Citeline, July 2025

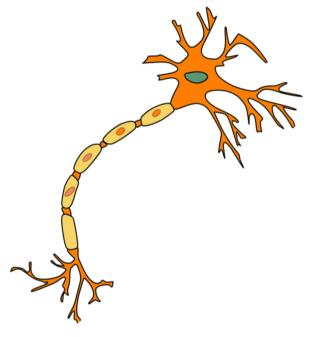


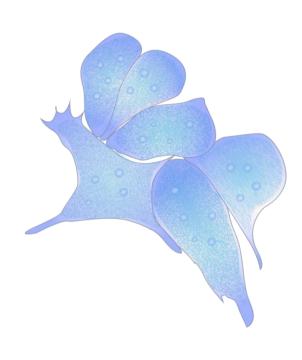
Cell & Gene Therapies: Opportunities to Explore

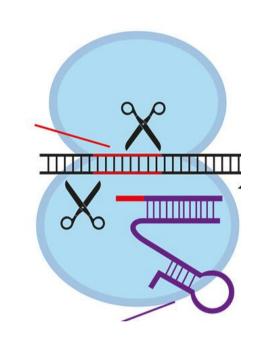
Complex and often poorly understood biologic products











Adenoassociated viruses (AAV)

3 Proteins DNA genome

Lentiviruses Retroviruses

(LVV, RVV)

~3-12 proteins Lipid membrane (from cell) Kissing-double strand RNA genome

Healthy Donor Cells Patient Cells

T cells, natural killer cells, B cells, stem cells

Manufacturing Cells

Produce gene therapy viruses HEK293 lines, insect cell lines

Gene Editing or Modifying

Components guideRNA, enzymes, LNPs, mRNA

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B4fa.or



Four Key Product Requirements

- Required for IND and BLA
- "Although in each phase of the investigation sufficient information is required to be submitted to assure the proper identification, quality, purity, and strength of the investigational drug"
- ■21 CFR 312.23(7)(i) and 21 CFR 610.1 for BLA requirements
- Generally analytical method agnostic for CGT



* FDA generally accepts USP methods with verification (sterility, mycoplasma, color)



The Good Science Problem

- Sponsor: "Please tell me what analytical methods to do."
- FDA: Please select analytical methods appropriate for and sufficient to assess the specific attributes that will assure the quality of the product, including safety and efficacy.



Regulatory Notes:

When compendial methods exist (i.e., USP), then non-compendial methods must demonstrate "equal to or greater than" than performance 21 CFR 609.10

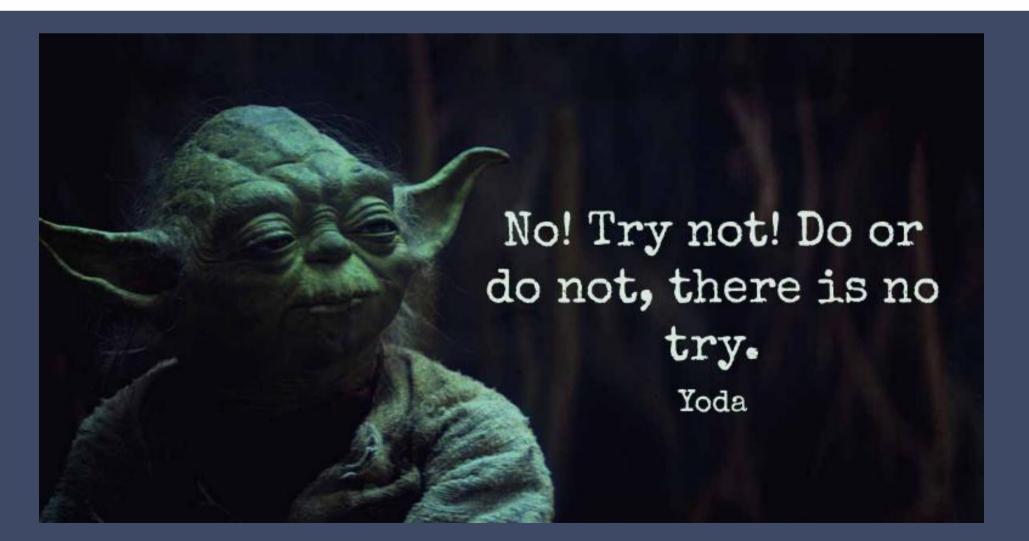


Yes, FDA CGT Encourages New Analytical Methods!

But you must do them right.

Mass Spec is well established in mAbs, but newer to CGT.

Most assays are new to CGT.





New Analytical Methods: IND Submission

Method qualified for use = suitable for intended use

- Clearly stated by FDA CGT CMC 2020 IND guidance and draft 2024 draft FAQ guidance
- Qualification varies by test type, risk
- Prior knowledge can be leveraged

Method information to include

- General method description
- Rationale for assay design and performance
- Description of samples/test articles, controls, and reference materials
- Equipment, reagents
- Results to demonstrate the assay is suitable for use, including performance across the intended conditions

Level of detail required

- Novelty of assay
- Criticality of assay
- Experience with assay
- Validation not required until BLA

1st Stop for FDA GT Guidance for CMC in IND

Chemistry, Manufacturing, and Control (CMC) Information for Human Gene Therapy Investigational New Drug Applications (INDs)

Guidance for Industry

Additional copies of this guidance are available from the Office of Communication, Outreach and Development (OCOD), 10903 New Hampshire Ave., Bldg. 71, Rm. 3128, Silver Spring, MD 20993-0002, or by calling 1-800-835-4709 or 240-402-8010, or email ocod@fda.hhs.gov, or from the Internet at https://www.fda.gov/vaccines-blood-biologics/guidance-compliance-regulatory-information-biologics/biologics-guidances.

For questions on the content of this guidance, contact OCOD at the phone numbers or email address listed above.

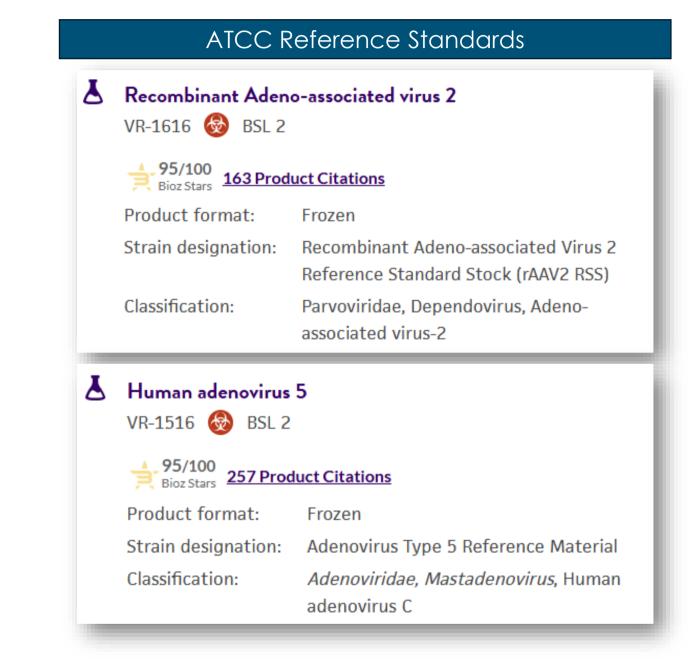
U.S. Department of Health and Human Services Food and Drug Administration Center for Biologics Evaluation and Research January 2020



CGT Challenges in Qualification & Validation

Reference materials & standards

- Appropriate controls
- Attributes (COA) should be understood to control assay
- Bridging studies- planned in advance
- Some Reference Standards can be purchased
- Often Reference Materials are developed in-house



Regulatory Notes:

Reference standards are a newer concept to CGT reg. affairs

Responsibility sits with the sponsor

Failure to understand reference lot CQA for assay performance = commercial lot failures

CGT Challenges in Qualification & Validation

Range

Cover span of use for assay

Limits

- Identify necessary level of detection (justification)
- Examples:
 - Safety related to impurities
 - Accuracy of dosing
 - A clear difference in non-potent product vs the range of potency in potent product

FDA Guidance Q2(R2) March 2024

Technique	Quantitative LC/MS Analysis of Trace Impurities in Product
Performance Characteristic	Validation Study Methodology
Reportable range	Validation of calibration model across the range:
	<u>Linearity:</u> Experimental demonstration of the linear relationship between analyte concentrations and peak responses (or the ratio of peak response if an internal standard was used) with reference materials at 5 or more concentration levels
	Validation of lower range limits:
	DL: Use the CV of responses at the spiking level (with 6 or more repeated injections) as a measure of signal-to-noise. The CV obtained must be less than or equal to a predefined acceptable value
	QL: The lowest spiking level with acceptable accuracy and precision
	The range extends from and is inclusive of the QL to the highest spiking level with acceptable accuracy, precision, and response

Regulatory Notes:

Limits must capture the necessary range for the product



CGT Challenges in Qualification & Validation

Robustness

Evaluate the system based on real use Lot variability – kits, reagents Sample prep conditions Wait times

Often forgotten in BLA with poor outcomes

FDA Guidance Q2(R2) March 2024

Technique	Quantitative LC/MS Analysis of Trace Impurities in Product			
Robustness and	Deliberate variation of parameters, e.g.,			
other	LC flow rate, LC injection volume, MS drying/desolvation temperature,			
considerations	MS gas flow, mass accuracy, MS collision energy, stability of test			
(performed as part	conditions			
of analytical				
procedure				
development as				
per International				
Council for				
Harmonisation				
guidance for				
industry Q14				
Analytical				
Procedure				
Development)				

LC/MS = liquid chromatography/mass spectrometry; MRM = multiple reaction monitoring; CV = coefficient of variation.

Example from BLA: Postmarketing Commitment (PMC)

Final Report Submission: October 31, 2024



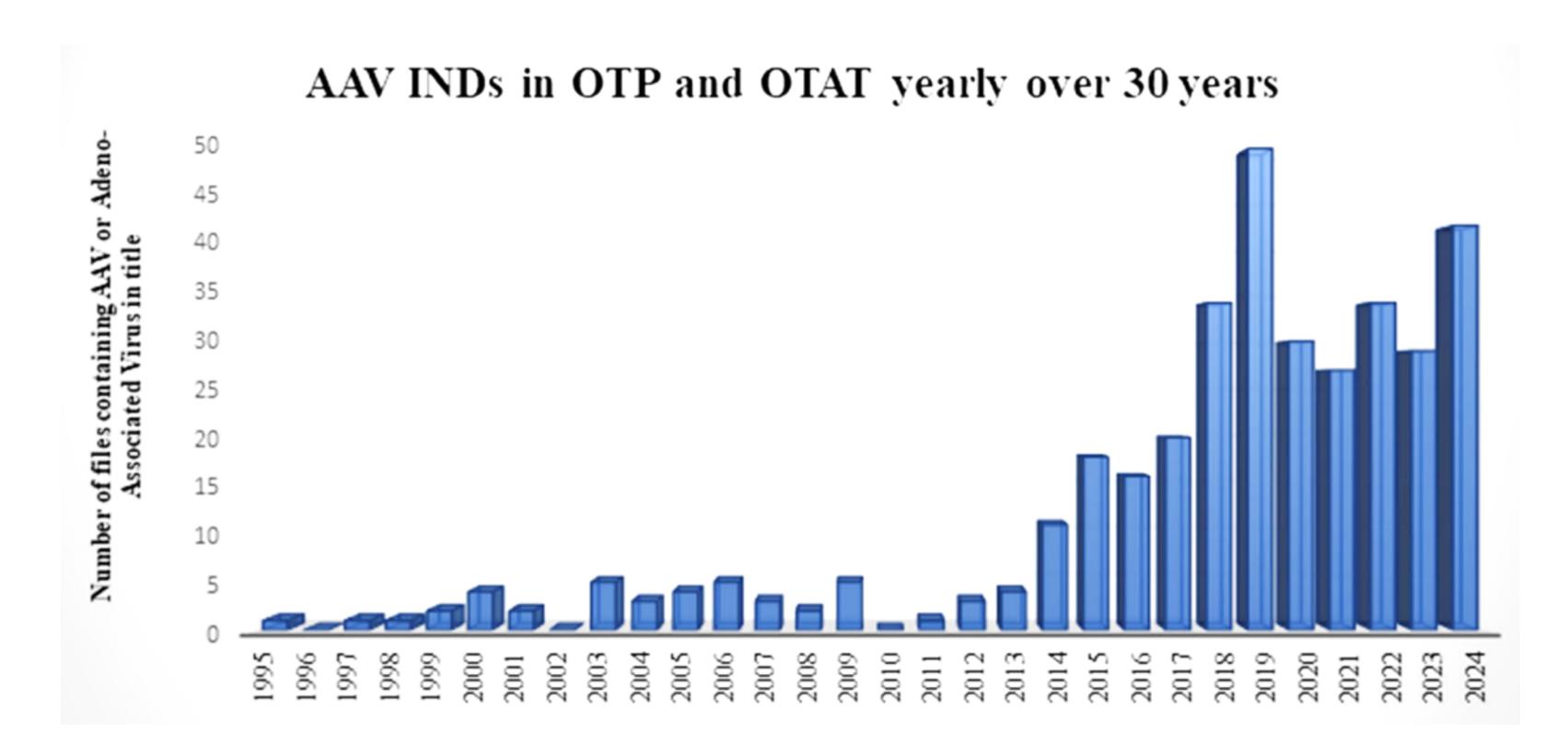
Regulatory Notes:

Failure to evaluate robustness can result in Postmarketing Commitments

Or a Complete
Response Letter to
BLA if risk to quality

Adeno-associated Viruses (AAVs) IND Submissions

Seven FDA approved AAV-based therapies by the end of 2024





*Published by FDA research lab – Mazor lab

Adeno-associated Viruses (AAV)

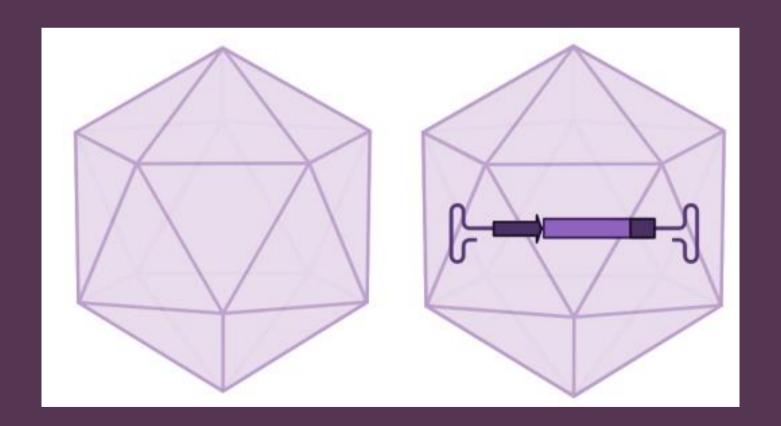
3 proteins with DNA payload

Problems

- Tissue tropism
- High-dose delivery (e.g., 1E14 particles per kg)
- Delivery through intravenous infusion, injection or pump into central nervous system (brain, spinal cord)
- Long-lasting (probably)

Unintended immune response

- Days innate immune response
 - Complement activation
 - e.g., thrombotic microangiopathy, organ failure: heart, kidney, liver, death
- Weeks adaptive immune response
 - T cell response to capsid proteins
 - e.g., hepatotoxicity, liver failure, death





Potency

Delivers Gene

Impurity

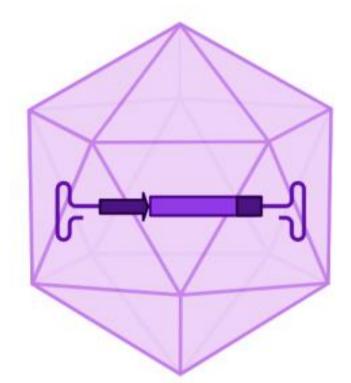
Immunogenic

Impurity

Immunogenic

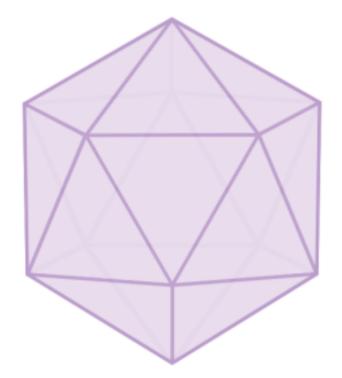
Impurity

Immunogenic



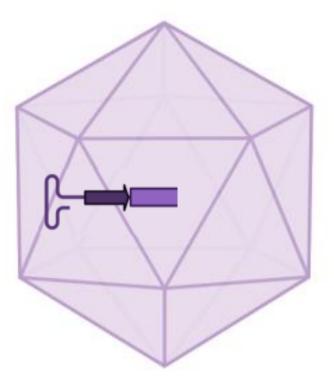
Full

Complete transgene packaged



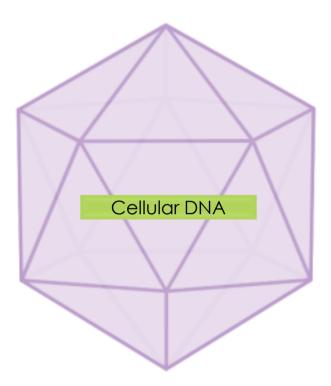
Empty

No DNA packaging



Partial

Fragment of transgene packaged



Other

Cellular DNA packaged Plasmid DNA packaged Double capsids

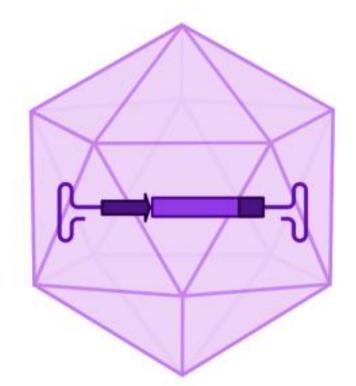


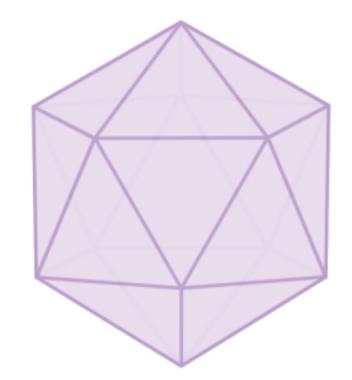
Potency

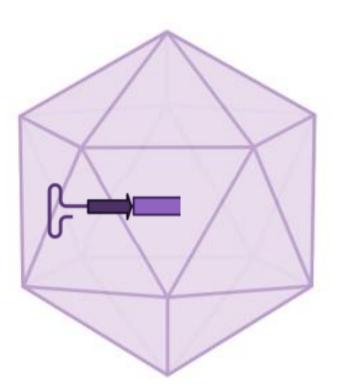
Delivers Gene

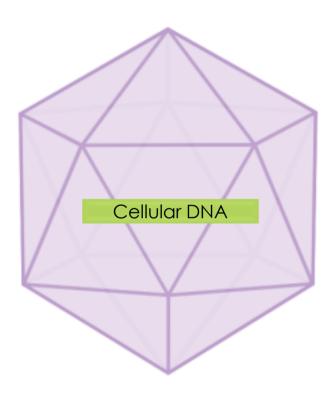
Impurity Immunogenic **Impurity**Immunogenic

ImpurityImmunogenic







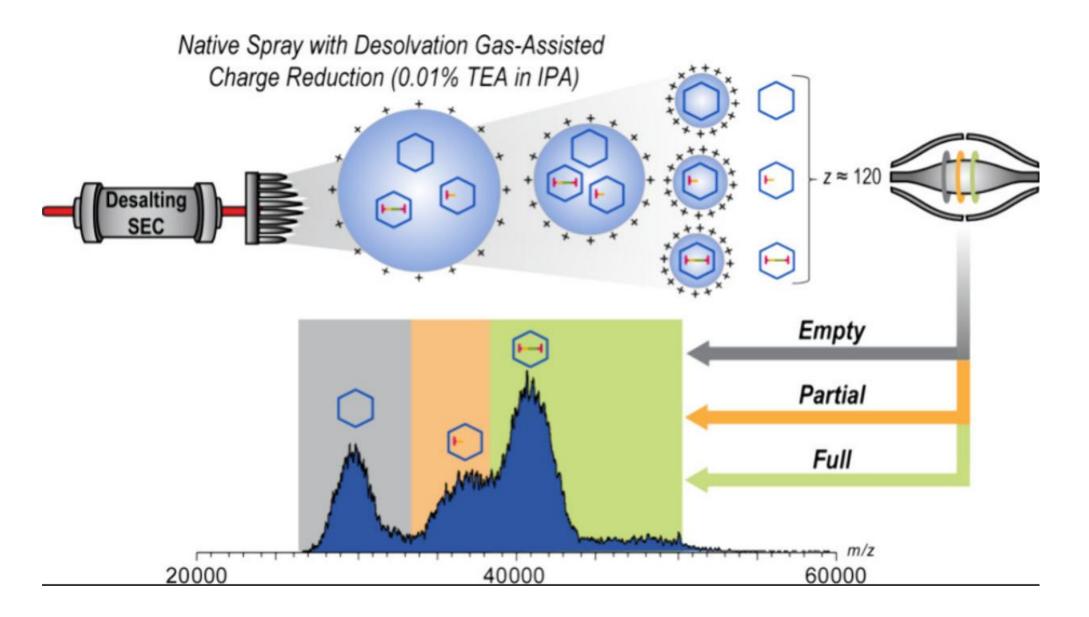


Test product lot mixture at end of manufacturing

Critical to understand capsid fill: Safety and Purity



Test Parameter Measure	Capsid fill ratio Empty: Partial : Full capsids	
Quality Attribute Supported	Purity, Safety	
Analytical Method	Native Mass Spec Online buffer exchange & charge reduction	
What Phase for IND	Qualified method for Phase 1 required	
Why Critical	Informs viral particle dose for safety (immunogenicity)& efficacy	

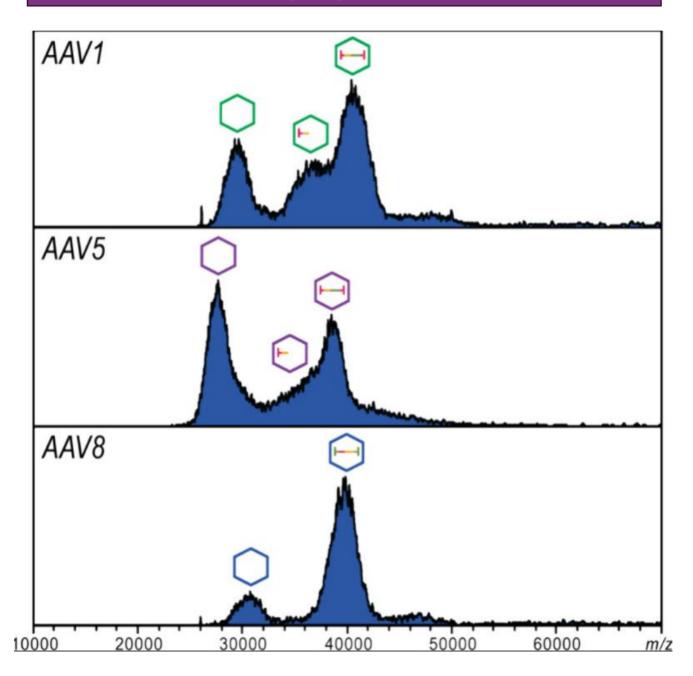


An Online Native Mass Spectrometry Approach for Fast, Sensitive, and Quantitative Assessment of Adeno-Associated Virus Capsid Content Ratios Victoria C. Cotham, Shunhai Wang, and Ning Li Journal of the American Society for Mass Spectrometry 2024 35 (7), 1567-1575 DOI: 10.1021/jasms.4c00151

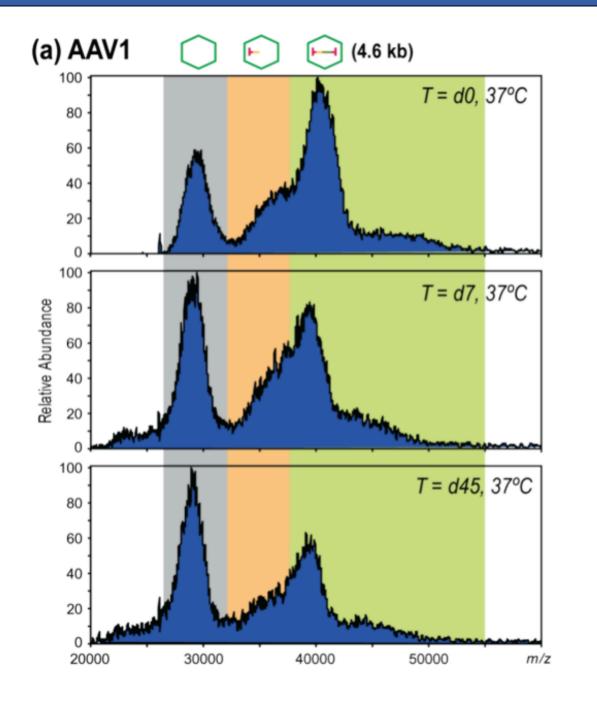


Two Examples Investigating AAV Packaging

Different AAV Serotypes Vary in Packaging Efficiency



Use in Stability Study





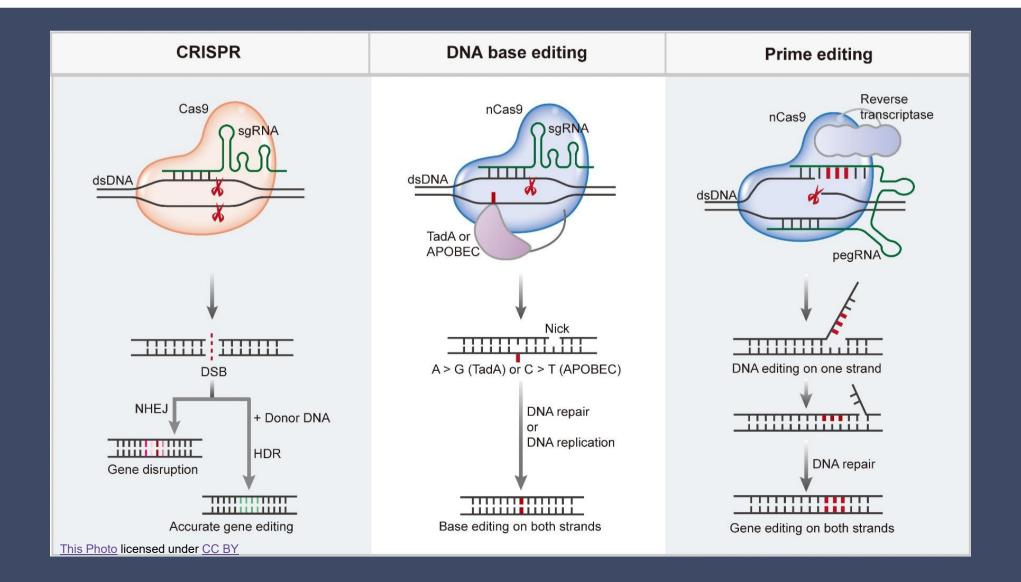
An Online Native Mass Spectrometry Approach for Fast, Sensitive, and Quantitative Assessment of Adeno-Associated Virus Capsid Content Ratios **Victoria C. Cotham, Shunhai Wang, and Ning Li**

Journal of the American Society for Mass Spectrometry 2024 35 (7), 1567-1575 DOI: 10.1021/jasms.4c00151



Use 2: RNAs for Gene Editing & Cancer Vaccines

- RNA can be chemically or biologically synthesized
- Examples
 - CRISPR-based gene editing
 - RNA-guide that locates the genomic site (e.g., gRNA, sgRNA, pegRNA)
 - Enzyme that can cut or nick (e.g., Cas9)
 - LNP-packaged RNA expressing tumor-specific antigenic signatures
 - mRNA electroporated into cells ex vivo





Use 2: Genome Editing

US FDA

- CGT RNA are critical components
- Treated as Drug Substance
- Potency, purity, safety, and identity testing

CDMO Testing

No guarantee COA will meet your IND's FDA requirements

Product type & Center

- RNA testing requirements depend on FDA
 Center (CBER vs CDER)
- Testing is risk dependent
- Ex vivo vs in vivo
- Gene editing vs transient expression

Safety is assured across all Phases

Example of CDMO CRISPR Products and Testing

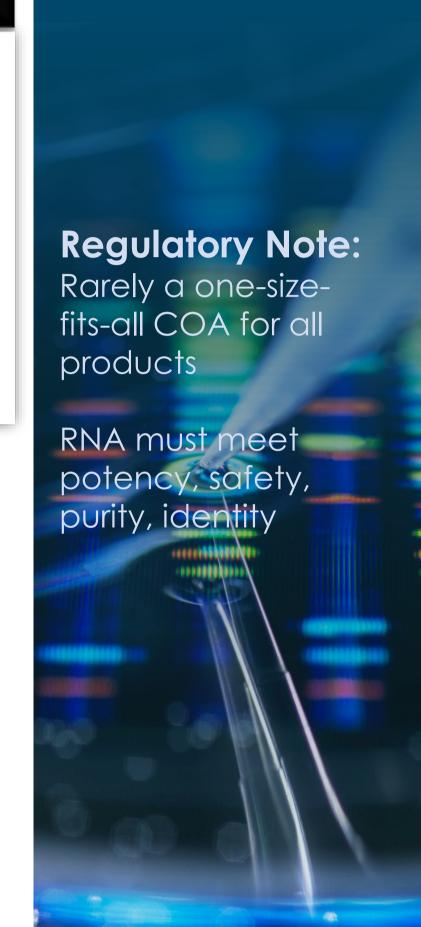
Product Specifications

	GMP Gene Editors (SpCas9 & AccuBase)	GMP sgRNA	INDe sgRNA
Regulatory Compliance	Complies with FDA and ICH cGMP regulations including Chemistry, Manufacturing and Controls (CMC) for gene editing components used in cell and gene therapies	Complies with FDA and ICH cGMP regulations including Chemistry, Manufacturing and Controls (CMC) for gene editing components used in cell and gene therapies	Complies with equipment, facility, material controls in 21 CFR part 58 and provides comparability for nonclinical vs clinical materials

GMP sgRNA Available Quality Tests GMP Gene Editor Quality Tests

- Appearance
- Concentration by UV-Vis
- pH
- Purity by HPLC-UV
- Identity by ESI-MS
- NGS
- Residual water content
- Residual solvent content
- Elemental impurities
- Endotoxin Testing
- Bioburden Testing
- Sterility Testing
- CCIT

- Appearance
- Concentration by UV-Vis
- pH
- Identity by electrophoresis
- Purity by RP-HPLC
- Purity by SEC-HPLC
- · Purity by NR-CE
- Purity by R-CE
- Activity
- Residual DNase
- Residual RNase
- Residual Host Cell Protein
- Residual Host cell DNA
- Endotoxin Testing
- Sterility Testing





Identity requirement

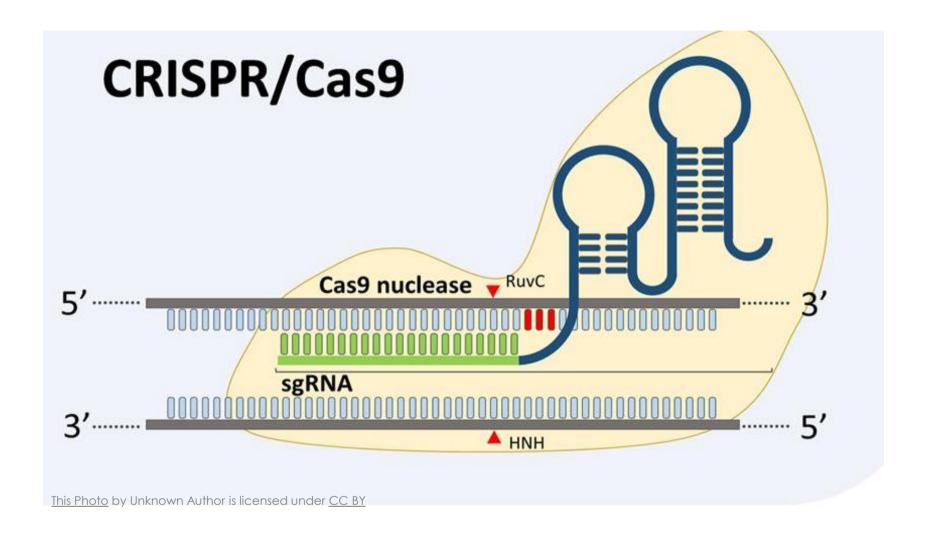
RNA sequence matches the intended sequence

Purity/Impurity

 Fragments, missing Poly-A tail, truncations, etc.

Poor synthesis, mix-ups at CDMO or at manufacturing site

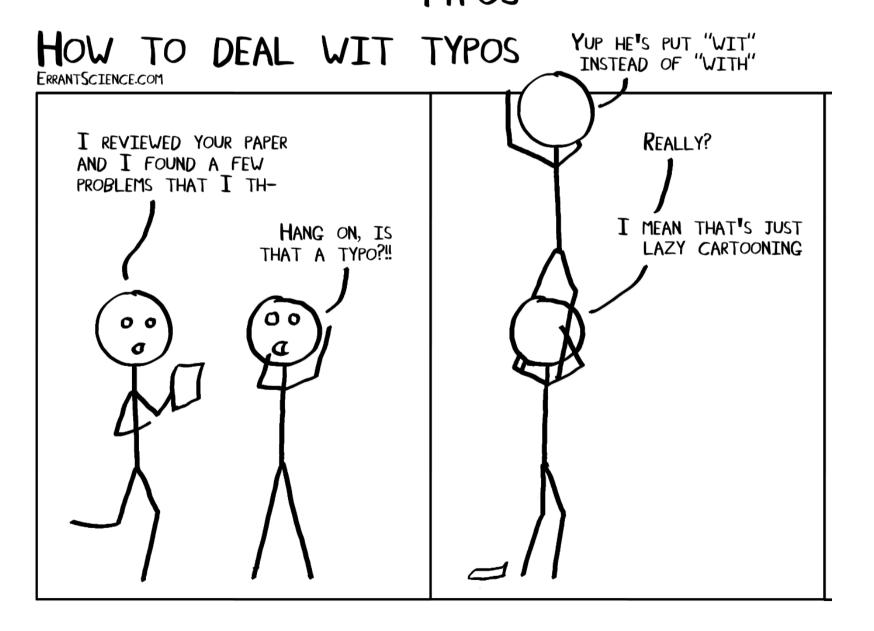
- Sequence matters
- No oops in gene editing peoples' cells





Sequence

HOW TO DEAL WITHTYPOS
HOW TO DEALHWIT TYPOS
TYPOS





Identity & Purity

Identical & Pure

Impurity

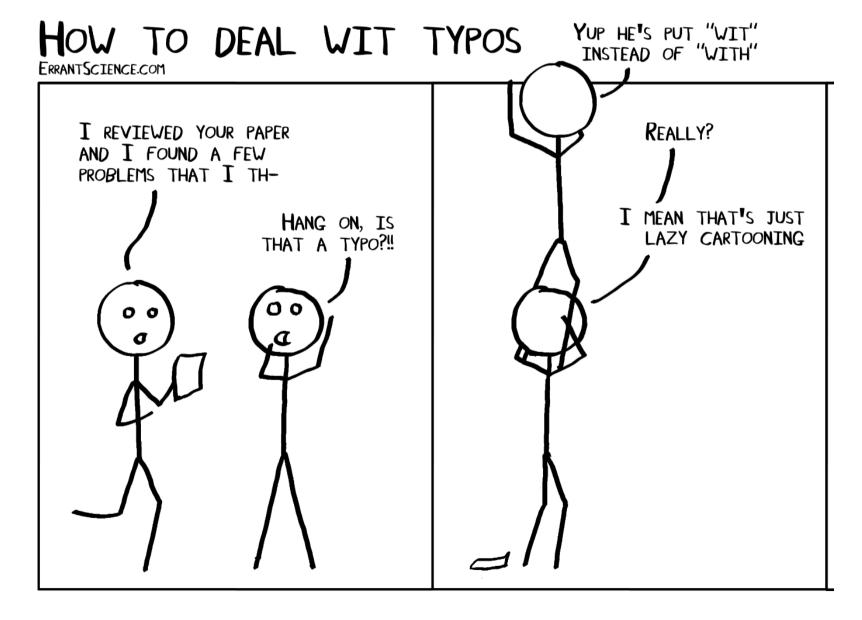
Impurity

Impurity

Sequence

HOW TO DEAL WITHTYPOS HOW TO DEALHWIT TYPOS

TYPOS





Identity & Purity

Identical & Pure

Impurity

Impurity

Impurity

Mass Spec Result

Pass

Pass

Fail

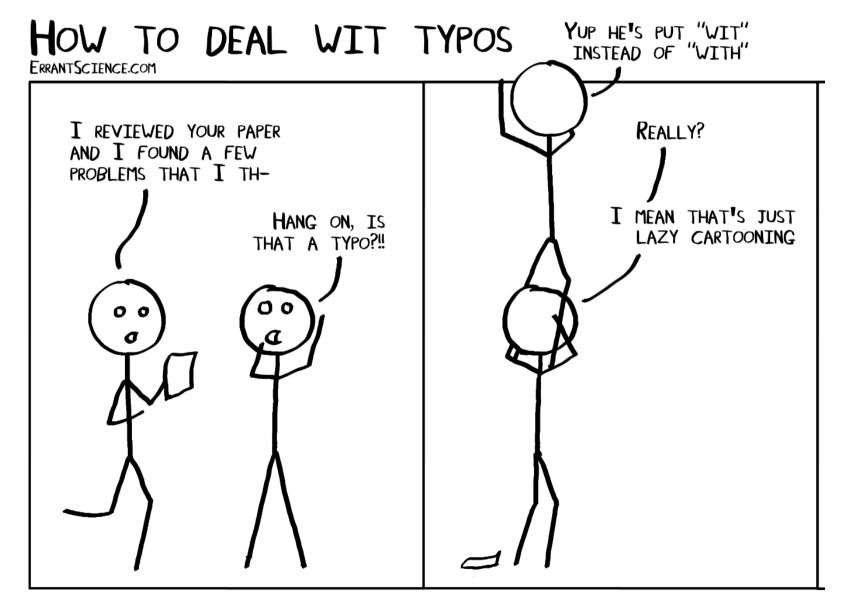
Fail

Sequence

HOW TO DEAL WITHTYPOS HOW TO DEALHWIT TYPOS

TYPOS

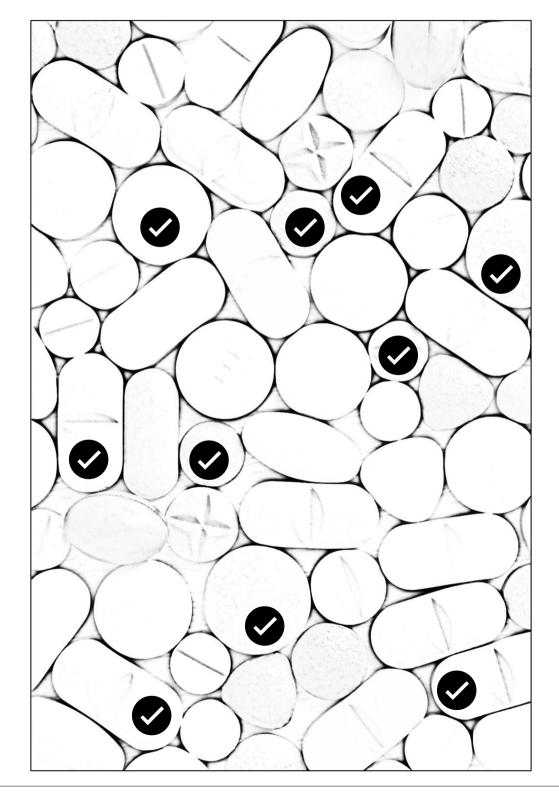
A sequencing-based method is still required to determine identity
Mass Spec useful in combination for impurities





Use 3: Characterization - Powerful Unknowns

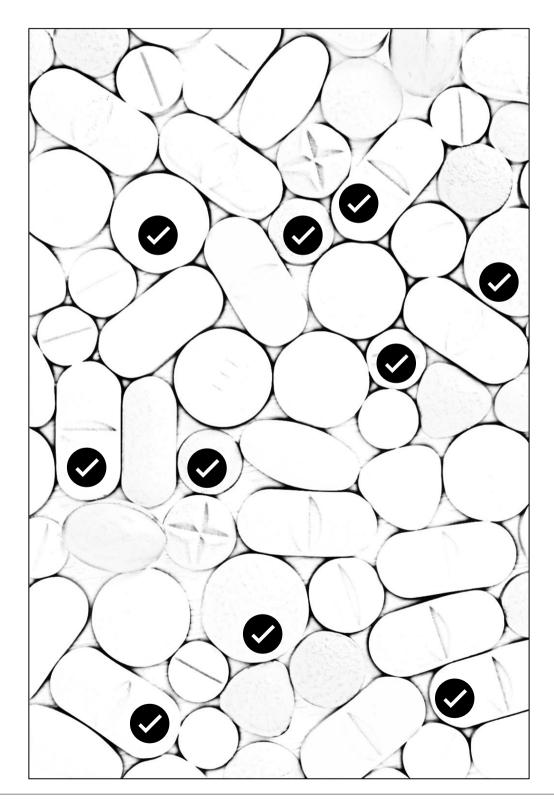
Why do some patients respond well to some product lots?



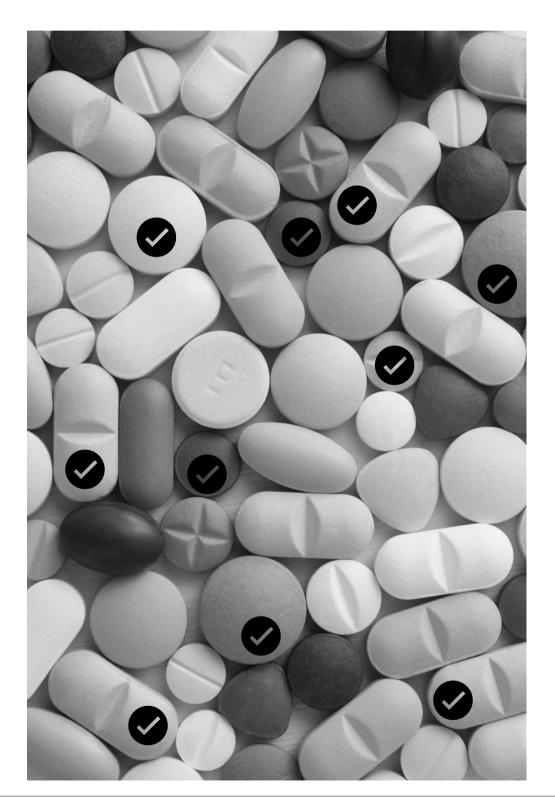


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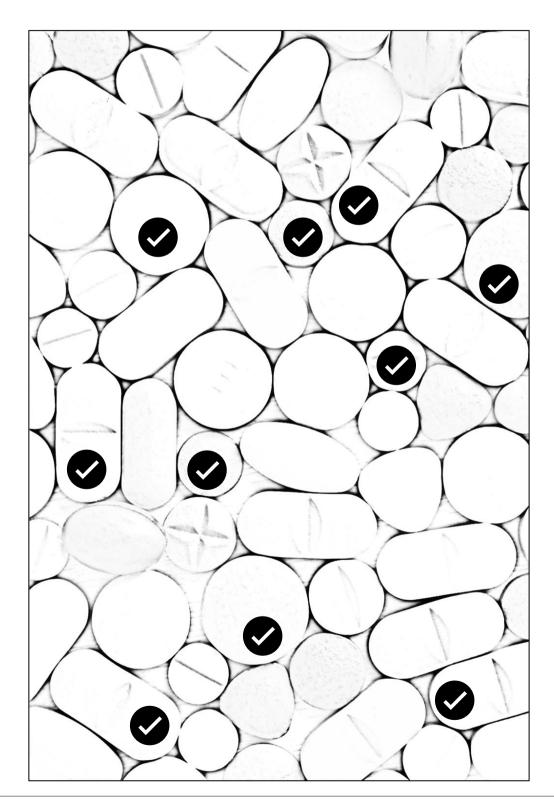
CGT products often highly variable Complex MOAs Quality Attributes insufficient





Use 3: Characterization - Powerful Unknowns

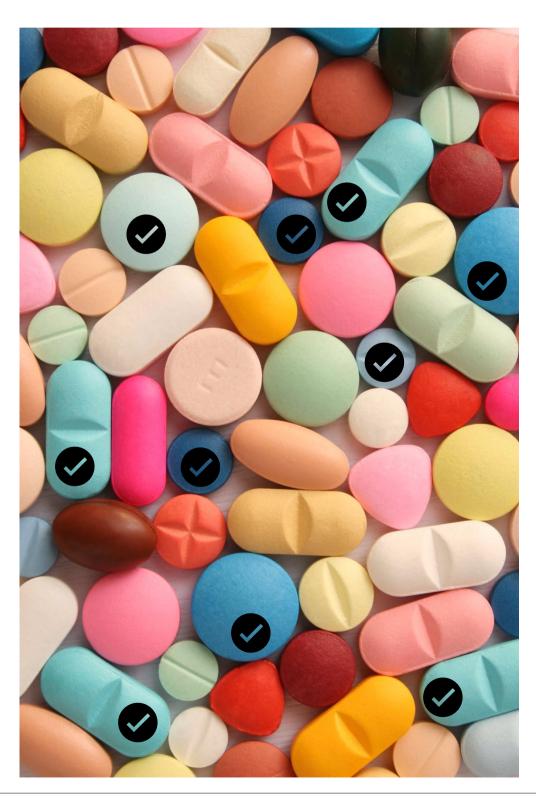
Why do some patients respond well to some product lots?



CGT products often highly variable Complex MOAs Quality Attributes insufficient



Characterization data can elucidate how a cell or gene therapy works





Use 3: AAV Safety & Unknowns

Sponsor/Program/Trial identifier #	Target disease	Dates of hold	Reason for hold	Status/Conclusions
Pfizer ^{45,46} PF-06939926 NCT03362502	Duchenne muscular dystrophy	Dec-21 - Apr-22	Death of a young patient.	Cause of death was assumed to be more advanced disease and underlying cardiac dysfunction. FDA requested a potency assay and a protocol amendment, and the sponsor did both. Protocol amendment consists of closer monitoring of patients; there will be a 7-day hospitalization period after patients receive the therapy. Still determining strategy for non-ambulatory patients.
Pfizer ^{47,48} giroctocogene fitelparovec NCT04370054	Hemophilia A	21 C N Mar-22	norm actor levels which increases the risk of blood clots.	Adjusted protocol. Voluntary pause was Including Shall Control of the state of the
Selecta ^{49,50} SEL-302, (MMA101 plus ImmTOR) N/A	Methyl-malonic acidemia (MMA)	Nov-21 - Mar-22	Trial has not been initiated yet—no clinical or preclinical issues.	FDA requested further data on CMC linked to the MMA-101 product candidate.
BioMarin ⁵¹ BMN 307 NCT04480567	Phenyl-ketonuria	Sep-21 Ongoing as of Dec-2022	safety findings from a preclinical non- GLP pharmacology study. Several mice developed tumors. Lower doses were	FDA asked for more animal studies. Delay is expected to last "several
Astellas ⁵² AT132 (bilparvovec) NCT03199469	X-linked myotubular myopathy	Sep-21 - Ongoing as of Dec- 2022	A total of four patient deaths. The first three received the higher dose and developed liver dysfunction and eventual liver failure. Most recent patient died who received a lower dose; cause of death has not been disclosed.	Patient who received this dose had abnormal liver tests despite a normal liver ultrasound and tests prior to dosing. This is the second time this therapy has been put on clinical hold. Hold was lifted in January 2023.
Rocket Pharma ^{53,54} RP-A501 (AAV9.LAMP2B) NCT03882437	Damon disease	May-21 - Aug-21	FDA requested modifications to the trial protocol and revised guidelines for patient selection and management.	Protocol modifications only.

Inside Precision Medicine > Precision Medicine > Reporter's Notebook: Child Dies in Brain-Targeting AAV Gene Therapy 1

Reporter's Notebook: Child Dies in Brain-Targeting AAV Gene Therapy Trial

Capsida voluntarily halted the trial for CAP-002—an IV-administered gene therapy for a rare neurological condition—to determine the patient's death

By Jonathan D. Grinstein, PhD September 15, 2025

https://www.insideprecisionmedicine.com/topics/precision-medicine/reporters-notebook-child-dies-in-brain-targeting-aav-gene-therapy-trial/



CURRENT ISSUE ✓ SPECIALTIES ✓ TOPIC

ORIGINAL ARTICLE | BRIEF REPORT



Death after High-Dose rAAV9 Gene Therapy in a Patient with Duchenne's Muscular Dystrophy

Published September 27, 2023 | N Engl J Med 2023;389:1203-1210 | DOI: 10.1056/NEJMoa2307798 <u>VOL. 389 NO. 13 | Copyright © 2023</u>

ntially powerful in

We treated a 27-year-old patient with Duchenne's muscular dystrophy (DMD) with recombinant adeno-associated virus (rAAV) serotype 9 containing dSaCas9 (i.e., "dead" Staphylococcus aureus Cas9, in which the Cas9 nuclease activity has been inactivated) fused to VP64; this transgene was designed to up-regulate cortical dystrophin as a custom CRISPR—transactivator therapy. The dose of rAAV used was 1×10¹⁴ vector genomes per kilogram of body weight. Mild cardiac dysfunction and pericardial effusion developed, followed by acute respiratory distress syndrome (ARDS) and cardiac arrest 6 days after transgene treatment; the pay int died 2 days later. A postmortem examination showed severe diffuse alveolar damage. Expression of transgene in the liver was minimal, and there was no evidence of AAV serotype 9 antibodies or effector T-cell reactivity in the organs. These findings indicate that an innate immune reaction caused ARDS in a patient with advanced DMD treated with high-dose rAAV gene therapy. (Funded by Cure Rare Disease.)

FDA Investigating Deaths Due to Acute Liver Failure Following Treatment with Sarepta's AAVrh74 Gene Therapies

FDA Safety Communication - July 18, 2025

Summary of the Issue

As of July 18, 2025, the Food and Drug Administration (FDA) has received three reports of fatal acute liver failure following treatment of patients with Sarepta AAVrh74 gene therapies that appear to have been caused by the gene therapy products as a result of https://www.fda.gov/vaccines-blood-biologics/safety-availability-biologics/fdc-investigating-acute liver failure.following-therapies

Patient dies in Rocket's Phase II Danon disease gene therapy trial

The FDA ordered a clinical hold on the Phase II trial after the adverse event was first disclosed.

A patient has died in a pivotal Phase II trial of Rocket Pharmaceuticals' gene therapy for Danon disease after suffering a serious adverse event (AE).

Rocket said that the patient received a dose of RP-A501 in the Phase II study (NCT06092034) and experienced clinical complications related to capillary leak syndrome. The patient later died after suffering an acute systemic infection.

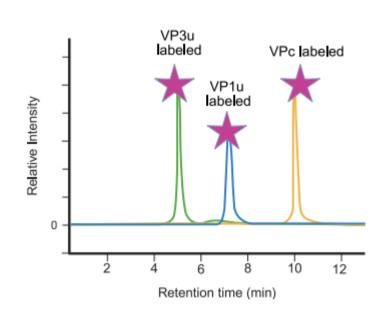
https://www.clinicaltrialsarena.com/news/patient-diesrocket-danon-disease-gene-therapy-trial/



Table 3. AAV-based therapies clinical holds

Use 3: AAV Unknowns

- Viruses = Nature's original cellular delivery system for DNA and RNA
- Many unknowns



Histone H4 Nucleolin Heat shock cognate protein 71 kDa Heat shock protein 70 kDa 1A Heat shock protein 70 kDa 1B Putative elongation factor 1-alpha-like 3 Elongation factor 1-alpha 1 Chromobox protein homolog 1 Chromobox protein homolog 3 Polyadenylate-binding protein 1 Y-box-binding protein 1 Ubiquitin 40S ribosomal protein S27a

How they put 3 proteins together

"observed ratio of 1 : 5.5 : 7.3 deviates from the widely assumed AAV capsid stoichiometry of 1 : 1 : 10"

Previous work demonstrates ratio impacts potency

What DNA they package

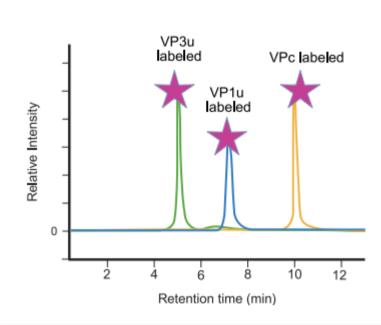
Host cell DNA Specific sequences

The consequence of delivering DNA from the virus-producing cells to a patient is unknown

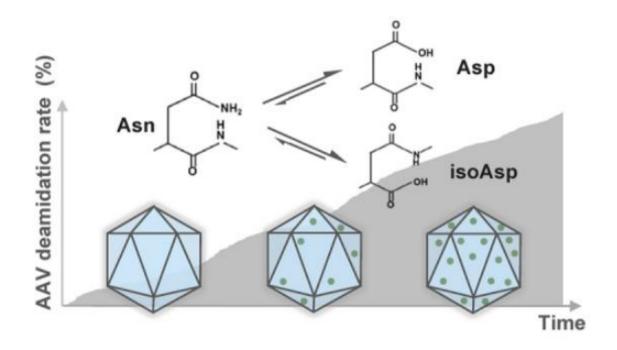


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Molecular Therapy Methods & Clinical Development, Volume 33, Issue 3, 101562

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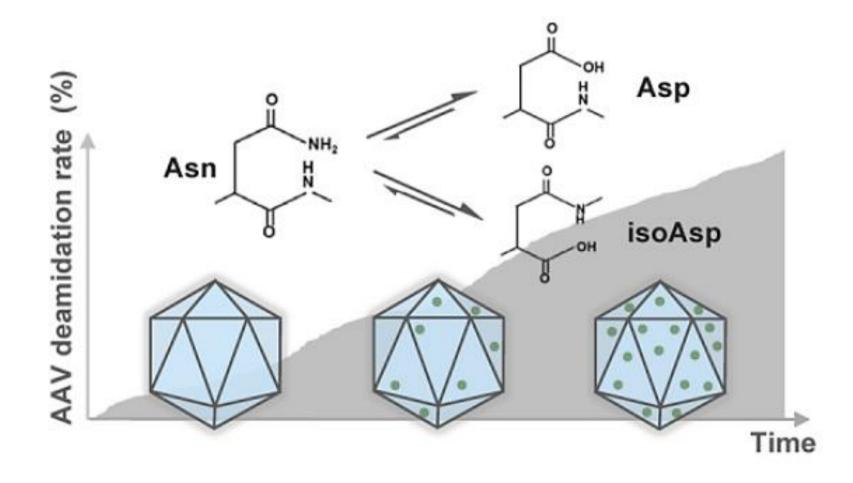
The consequence of delivering DNA from the virus-producing cells to a patient is unknown

How they trigger immune responses

Post-translational protein signatures alter immune responses

*Work done at FDA





rAAV9 produced, viral capsid was denatured, separated by gel electrophoresis 11, 25, and 83 days after purification

Excised gel bands subjected to in-gel tryptic digestion

Tryptic peptides
extracted from gels,
filtered, and analyzed for
deamidation by liquid
chromatography-mass
spectrometry

LC-MS

*Work done at FDA



Regulatory Notes:

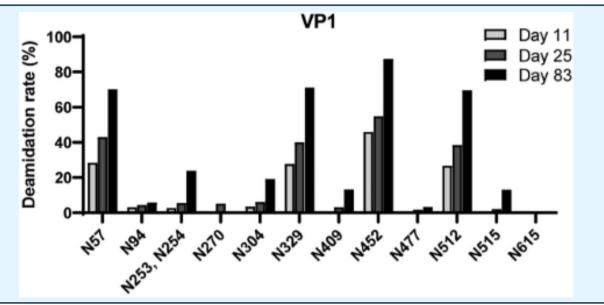
Research learnings translate to clinical safety, efficacy

Slow shifts in regulatory perspectives

Experiment: Measure deamidation at sites over time after purification

Result: Time impacts frequency of

deamidation



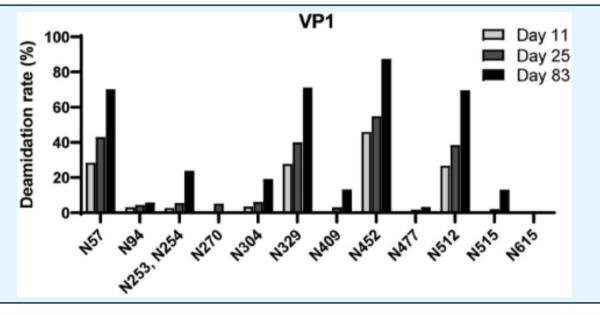
Future Considerations:

- Limit hold and storage times
- Optimize formulation



Experiment: Measure deamidation at sites over time after purification

Result: Time impacts frequency of deamidation



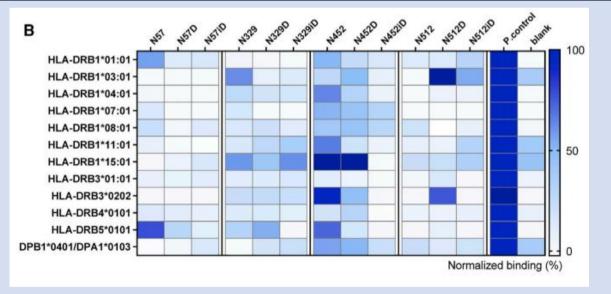
Future Considerations:

- Limit hold and storage times
- Optimize formulation

Experiment: Identify binding between deamidation sites and human leukocyte antigen types (HLA/ major histocompatibility class II)

Result: Recognition of deamidated sites varies across HLA types

*HLA allele types vary by person (organ rejection)



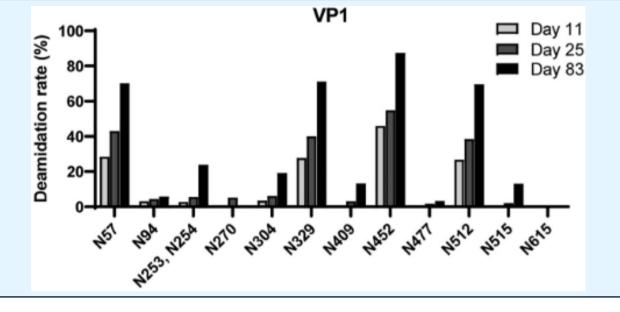
Future Considerations:

- Tailoring of drugs to personal HLA genotypes
- Patient inclusion/exclusion



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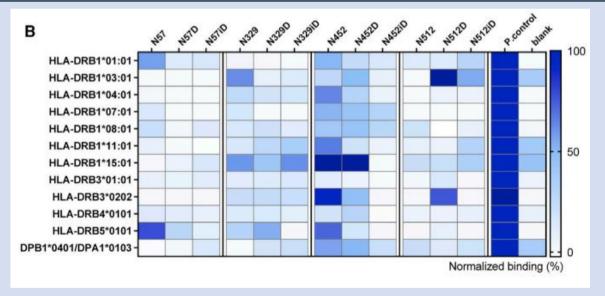
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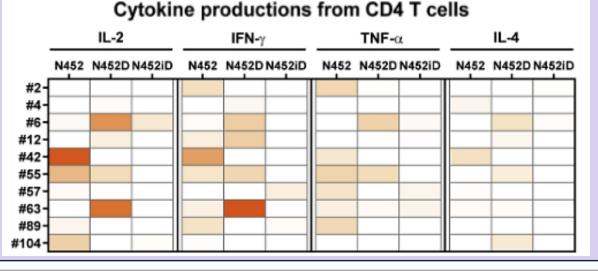


Future Considerations:

- Possible tailoring of drugs to personal HLA genotypes
- Patient inclusion/exclusion

Experiment: Stimulate donor CD4 T cells with variants at deamidation sites

Result: Secretion of immune signaling varied by donor and site modification



Future Considerations:

 Testing AAV deamidation as a Critical Quality Attribute **Regulatory Notes:**

Products with improved performance & safety profiles can differentiate from other products



Summary

- Field of CGT is open for new approaches via Mass Spec
- ■FDA CGT is open to novel approaches everything is new
- Develop Mass Spec methods with focus on qualification, then validation

Discovering unknowns in biological complexity can unlock the future of safe & effective drugs

Analytical methods reveal the world around us

References

- Chemistry, Manufacturing, and Control (CMC) Information for Human Gene Therapy Investigational New Drug Applications (INDs) FDA Guidance. January 2020.
- Q14 Analytical Procedure Development, FDA Guidance for Industry. March 2024.
- Q2(R2) Validation of Analytical Procedures, FDA Guidance for Industry. March 2024.
- Bioanalytical Method Validation, FDA Guidance for Industry.
 May 2018. (CDER, CVM)
- Analytical Procedures and Methods Validation for Drugs and Biologics, FDA Guidance for Industry. July 2015.

Non-exhaustive list

See FDA CGT for a comprehensive guide including

FAQ for Cell and Gene Therapy.

Draft Guidance

Potency Assurance Draft Guidance

CAR T Guidance

Gene Editing Guidance

See also OTPs Town Hall Series recordings





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