



# Antibody-Drug Conjugates:

Regulatory Insights and Lessons Learned

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1. Industry Positions
2. Control Strategies
  - a) Linker-Payload Specification
  - b) Antibody Specification
  - c) Drug Substance Specification
  - d) Drug Product Specification
3. Complex Supply Chains
4. Comparability Considerations
5. Conclusion

# CMC Challenges in ADC Drug Development

Control  
Strategy

Accelerated  
Timelines

Comparability

Complex  
Supply Chains

Global  
Regulatory  
Requirements

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## *White Paper*

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### **Control Strategy for Small Molecule Impurities in Antibody-Drug Conjugates**

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Scott Whitlock,<sup>2</sup> Qunying Zhang,<sup>1</sup> and Jie Zheng<sup>1</sup>

$$\begin{aligned} & \text{Daily Impurity Dose } \left( \frac{\text{mg}}{\text{day}} \right) \\ &= \frac{\text{Dose (mg)} \times \frac{\text{Impurity \%}}{100} \times \text{DAR} \times \frac{\text{Impurity MW}}{\text{ADC MW}}}{\text{Dose Frequency (days)}} \end{aligned}$$

# Industry Collaborations: IQ ADC WG

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## Drug-Linkers in Antibody–Drug Conjugates: Perspective on Current Industry Practices

Paul G. Bulger,\* David A. Conlon, Russell D. Cink, Lara Fernandez-Cerezo, Qunying Zhang, Srinath Thirumalairajan, Thomas Raglione, Ruiting Liang, Jinsheng Zhou, and Arun Chalgeri



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Article

## Risk Assessment and Control of *N*-Nitrosamines in Antibody–Drug Conjugates: Current Industry Practices

Paul G. Bulger,\* Michael T. Jones, J. Gair Ford, Kate Schrier, Kevin P. Cole, Frank Bernardoni, Olivier Dirat, Qunying Zhang, Osama Chahrour, Joy Miller, Llorente Bonaga, Andrew T. Parsons, and Lan Yang



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# Industry Collaborations: EFPIA ADC Workstream

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Perspective

## CMC Regulatory Considerations for Antibody-Drug Conjugates



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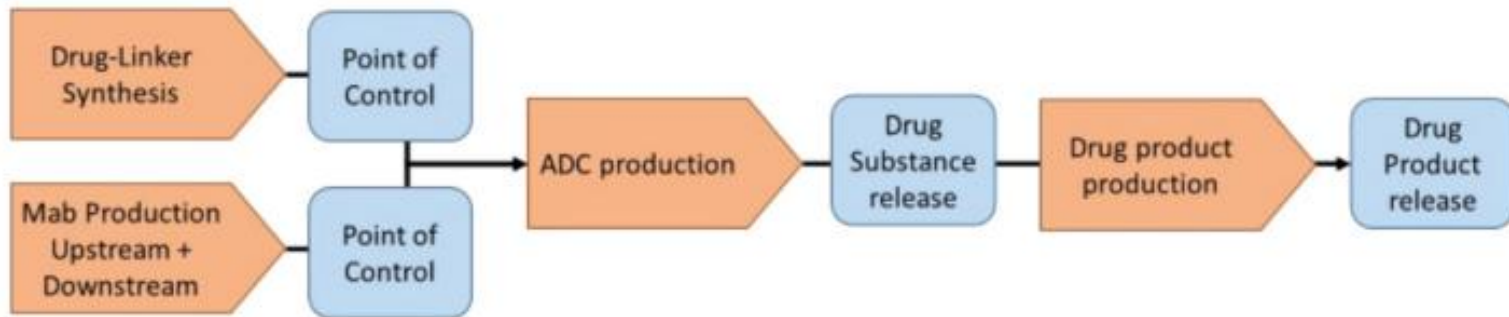
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# Industry Collaborations: EFPIA ADC Workstream

Bechtold-Peters, K. et al, CMC Regulatory Considerations for Antibody-Drug Conjugates. *Journal of Pharmaceutical Sciences*. 112, 2023, 2965–2980.



**Figure 3.** Example of components of an ADC production and control strategy (other sequences of processes possible).

# Industry Collaborations: EFPIA ADC Workstream

QUALITY ATTRIBUTE / METHOD	Points of Control for Intermediate		Release based on specifications	
	mAb DI	Drug-Linker DI	DS	DP
Appearance and description (color, clarity)	●	●	●	●
Osmolarity				●
pH	●		●	●
Content	●		●	●
Bioburden	●		●	
Sterility				●
Endotoxins	●			●
Size variants including fragments and aggregates	●		●	●
Charge variants	●		●	●
Host Cell Proteins (HCP)	●			
Host cell DNA	●			
Residual Protein A	●			
Binding to cellular target	●		●	●
Characterize (effector function, ADCC/CDC, and/or Higher Order Structure)	○		○	
Cytotoxicity bioassay			●	●
Average DAR			●	
DAR profile			○	
Unconjugated mAb (DAR0)			○	
Glycosylation	●			
Variants and PTMs – relevance also dependent on conjugation principle	●		○	
Oxidized species or other PTMs that may come through conjugation – if relevant and not “validated out”				●
Conjugatable impurities		●	○	
Free-drug related impurities including Non-conjugatable impurities		○ ● *	●	* ● ●
Residual solvents		●	●	
Metal impurities		●	●	«validated out»
Water content		●		
Chiral purity - if applicable		●		
Residual moisture and reconstitution time (if lyophilizate)				●
Particles (visible, subvisible)				●
Sterility				●
Container closure integrity				●
Surfactant content				●
Nitrosamines			If process assessment requires so	
Leachables			If process assessment requires so	

○ Characterize / for information only    ● Clinical Stage only    ● + Commercial stage after PC/PV

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A large, flowing orange shape that starts wide on the left and tapers towards the right, creating a sense of movement and depth.

# Control Strategies



# Linker-Payload Specification

## Control of Small Molecule Impurities

- Non-conjugatable impurities
  - Inherently purged by UF/DF processing steps
- Test for those impurities present at significant levels in the Linker-Payload
- Limits may be higher than controls in chemical drug intermediates
- Non-conjugatable impurities (i.e., residual solvents) not tested in the drug substance
- Approach has typically been accepted during the clinical trial phase and in marketing applications

# Linker-Payload Specification

## Control of Small Molecule Impurities

- Conjugatable impurities are those that can conjugate to the mAb
- Specification for the linker payload typically includes
  - Specified impurities
  - Single largest unspecified impurity
  - Total related impurities
- Generally assume all unspecified impurities are conjugatable
- Typically rely on the “Gong Calculations”
- Generally do not control these impurities at the DS stage
- Approach has typically been accepted during the clinical trial phase but inconsistently in marketing applications
- HAQs include requests for additional characterization data for the impurities or tightening of acceptance criteria

# Antibody Specification

## Control of Residual Host Cell Protein

- Host Cell Protein typically quantified using an ELISA assay
- Acceptance criterion typically based on prior knowledge for other mAb drugs
- Levels will be reduced by further downstream processing steps
- Typically, do not control HCP at the DS level as well
- Health Authorities have generally accepted this approach

# Drug Substance Specification

## Free mAb (DAR0)

- Test at the drug substance level
- Acceptance criteria based on:
  - Understand the relative binding potency between the free mAb and the ADC
  - Platform understanding of the downstream purging capabilities
  - Can be confirmed by batch data
- No need for control in the finished product

# Drug Product Specification

## Residual Free Drug Linker

- Strategy: Collect data on this attribute during development to support potentially excluding this test in the marketing application
- Feedback: Health Authorities reluctant to accept Company A's justification for removing this test. Company A's position:
  - RFDL levels are adequately controlled in the DS specification
  - No changes in RFDL levels are observed during DS and DP storage
  - Drug-antibody ratio, drug-load distribution, and potency are adequately monitored and controlled by multiple analytical methods

# Drug Product

## Gross Content and Deliverable Volume

- Do not typically include a gross content test and deliverable volume test in the drug product specification
  - Existing in-process controls are sufficient to ensure gross and net content meet the label claim
    - IPCs include bulk drug product concentration, fill volume, filling accuracy
  - Vial content controlled by tests for protein concentration and uniformity of dosage units in the DP release specification
  - Dosing based on patient weight, requiring multiple vials for adult patients
- Companies report receiving feedback to include this test from one health authority

A large, flowing orange shape that starts wide on the left and tapers towards the right, creating a sense of movement and depth.

# Complex Supply Chains





# Complex Supply Chains

- Companies report multiple manufacturing and testing sites for the linker-payload, mAb, Drug Substance and Drug Product
- Sites spread out worldwide, subject to external global political pressures
- Companies report challenges with managing in-licensed products and partner-managed contract manufacturers
- Complex supply chains require robust comparability strategies



# Comparability Considerations



# Comparability Considerations

- Typically take a risk-based approach to performing comparability assessments
- Comprehensive comparative analytical assessment typically includes:
  - Release testing
  - Characterization testing
  - Stability data
  - Forced degradation
- Depending on the type of change, Health Authorities have asked for additional extended characterization data or forced degradation data to support comparability claims
- Limited success in leveraging stability data across different container closure configurations

## Reflections and Conclusions

- Gaps between industry perspectives (i.e., the EFPIA paper<sup>1</sup> and the IQ paper<sup>2</sup>) and regulators' positions
- Complex supply chains for ADCs highlight need for robust comparability packages
- Diversity in regulatory expectations resulting in divergent dossiers globally
- Recommend early engagement with regulators to align on control strategy and risk-based approaches prior to BLA submission
  - Useful for discussing regulatory starting materials, PPQ strategies, and comparability assessment plans

1. Bechtold-Peters, K. et al, CMC Regulatory Considerations for Antibody-Drug Conjugates. *Journal of Pharmaceutical Sciences*. 112, **2023**, 2965–2980.

2. Gong, H.H., et al, Control Strategy for Small Molecule Impurities in Antibody-Drug Conjugates. *AAPS PharmSciTech*, Vol. 19, No. 3, April **2018** (# 2018) DOI: 10.1208/s12249-017-0943-6

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