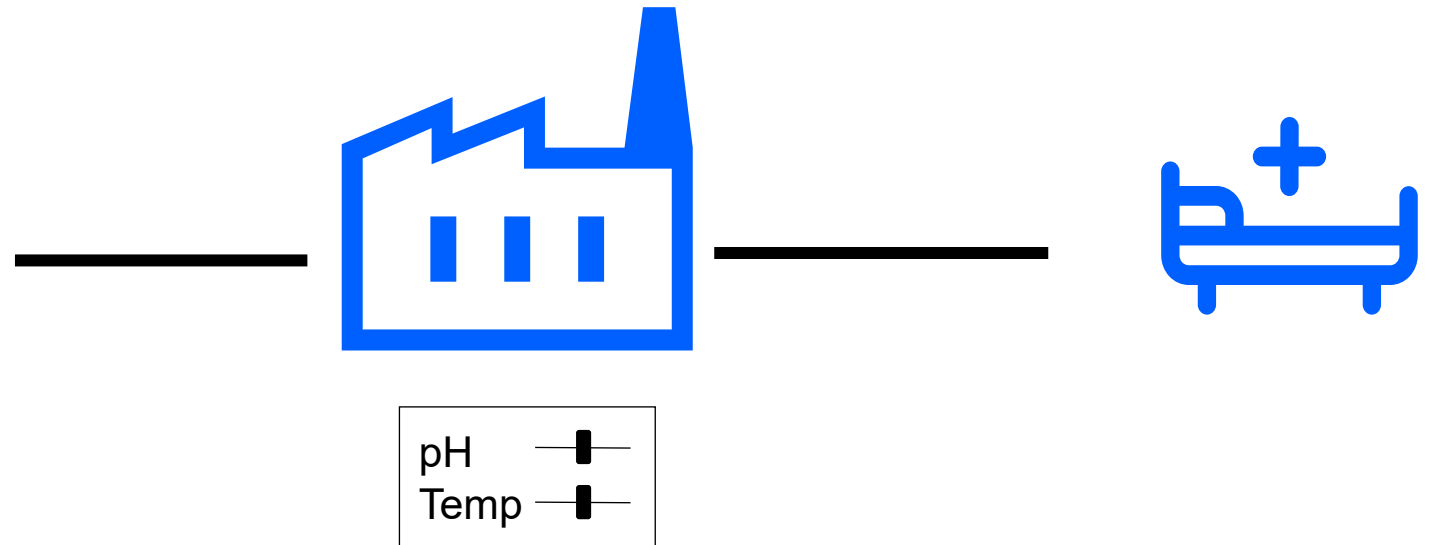


AI Powered End-to-End Process Digital Twins: Applications, Benefits and Challenges

Thomas Zahel, Head of Innovation

25th of June 2026, CMC Strategy Forum 2026

Why Process Digital Twins?

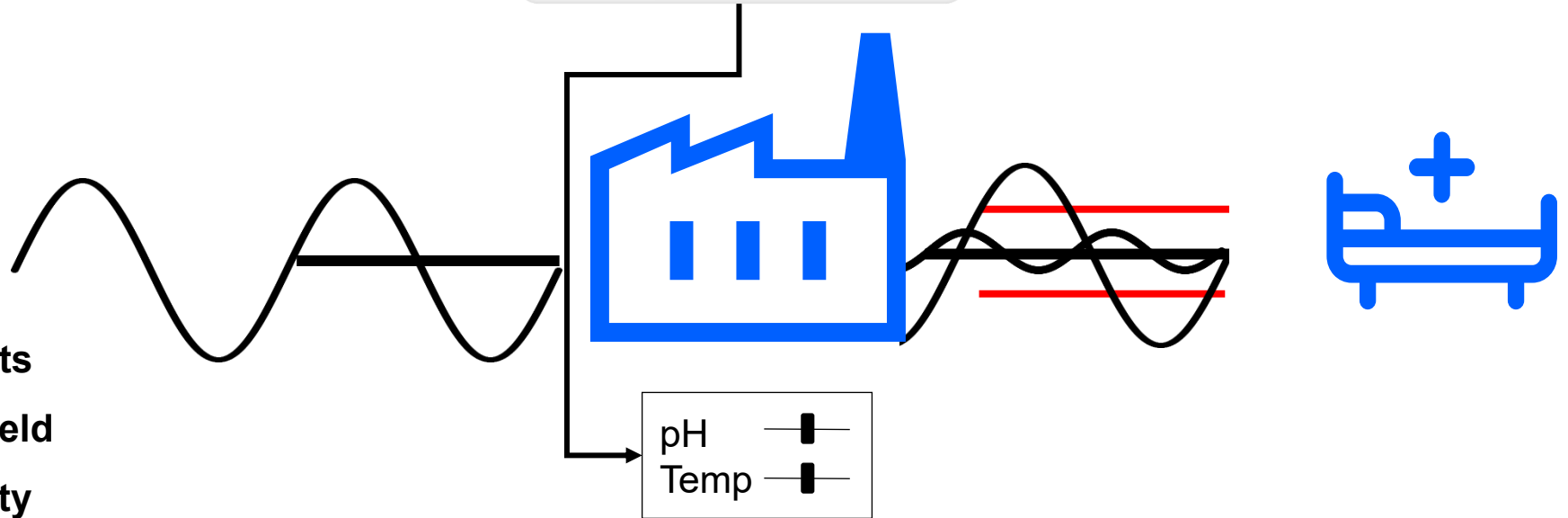


Why Process Digital Twins?

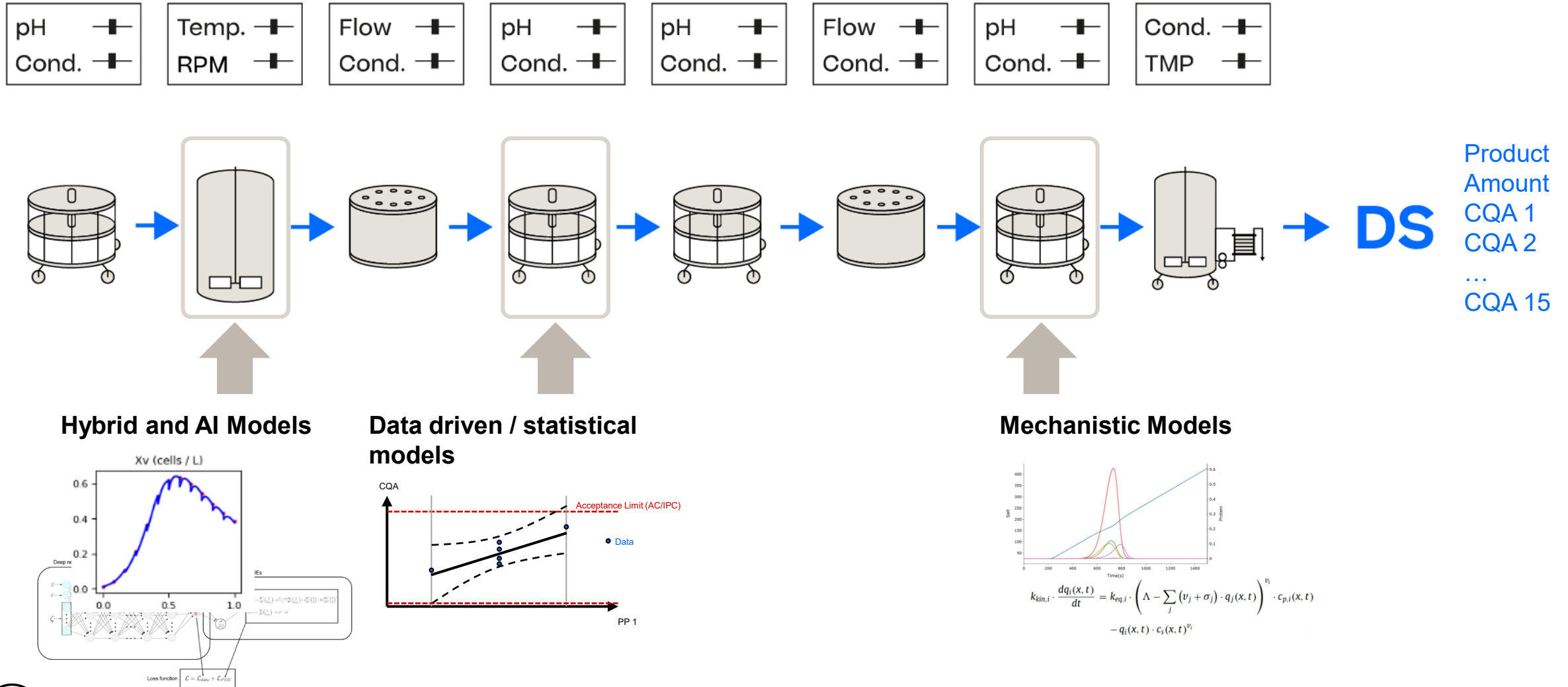
- Reduction of OOS results
- Increased space-time yield
- Increased reproducibility
- Sustainability
- Decrease cost of goods



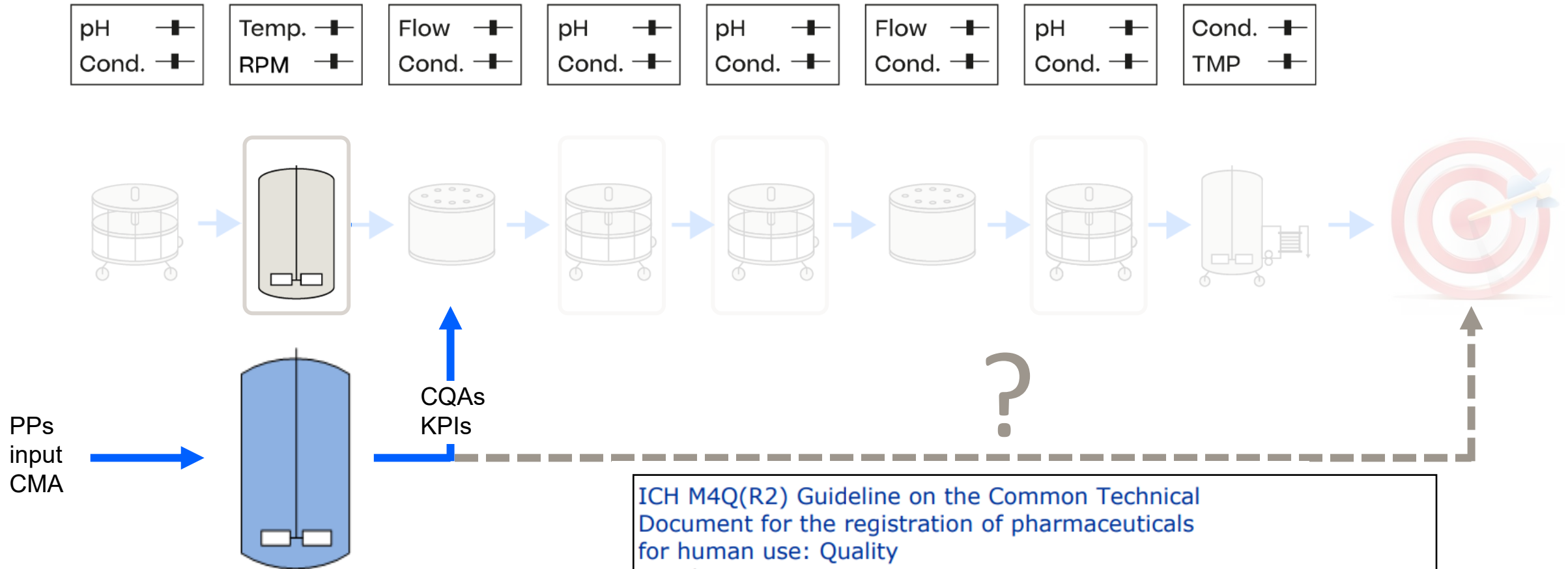
End-to-End
Process Model



End-to-End Process Models as a Framework



End-to-End Process Models as a Framework



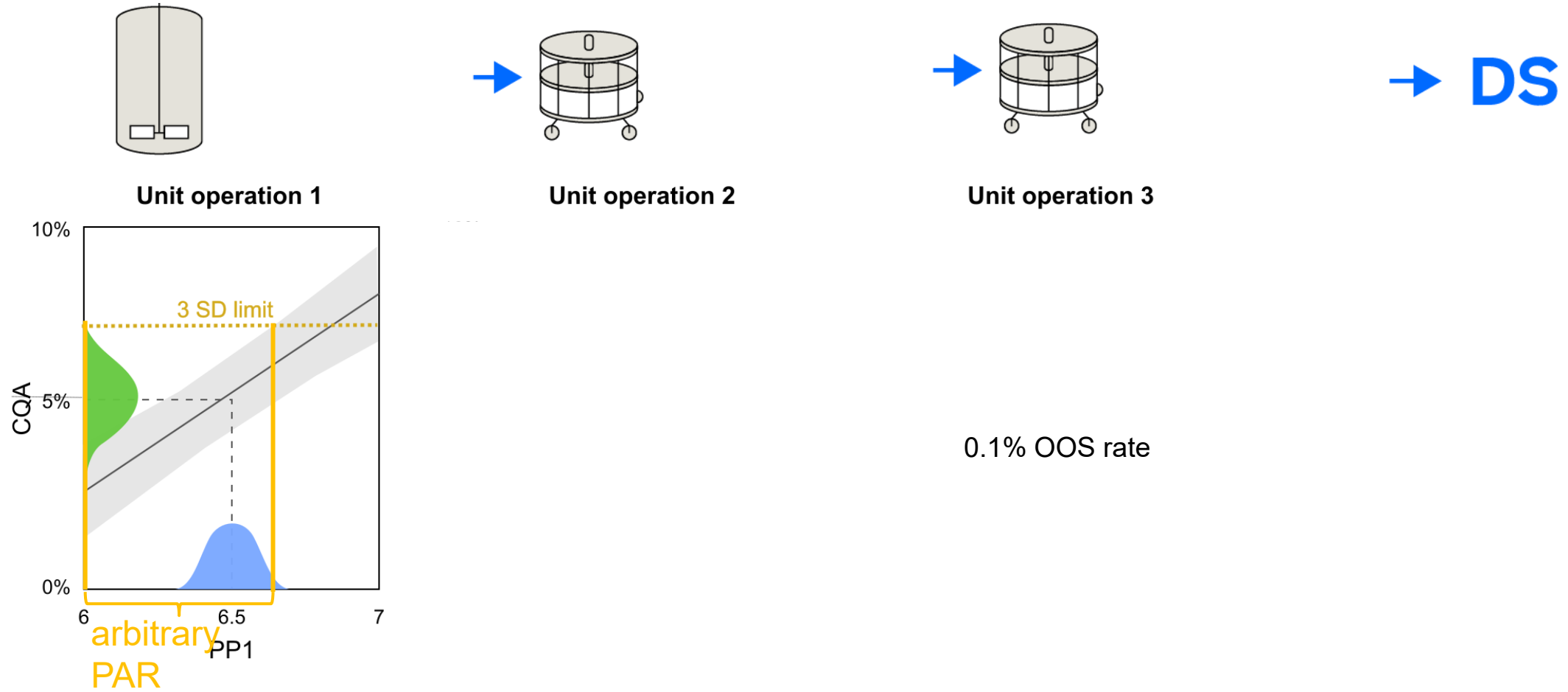
ICH M4Q(R2) Guideline on the Common Technical Document for the registration of pharmaceuticals for human use: Quality

Step 2b

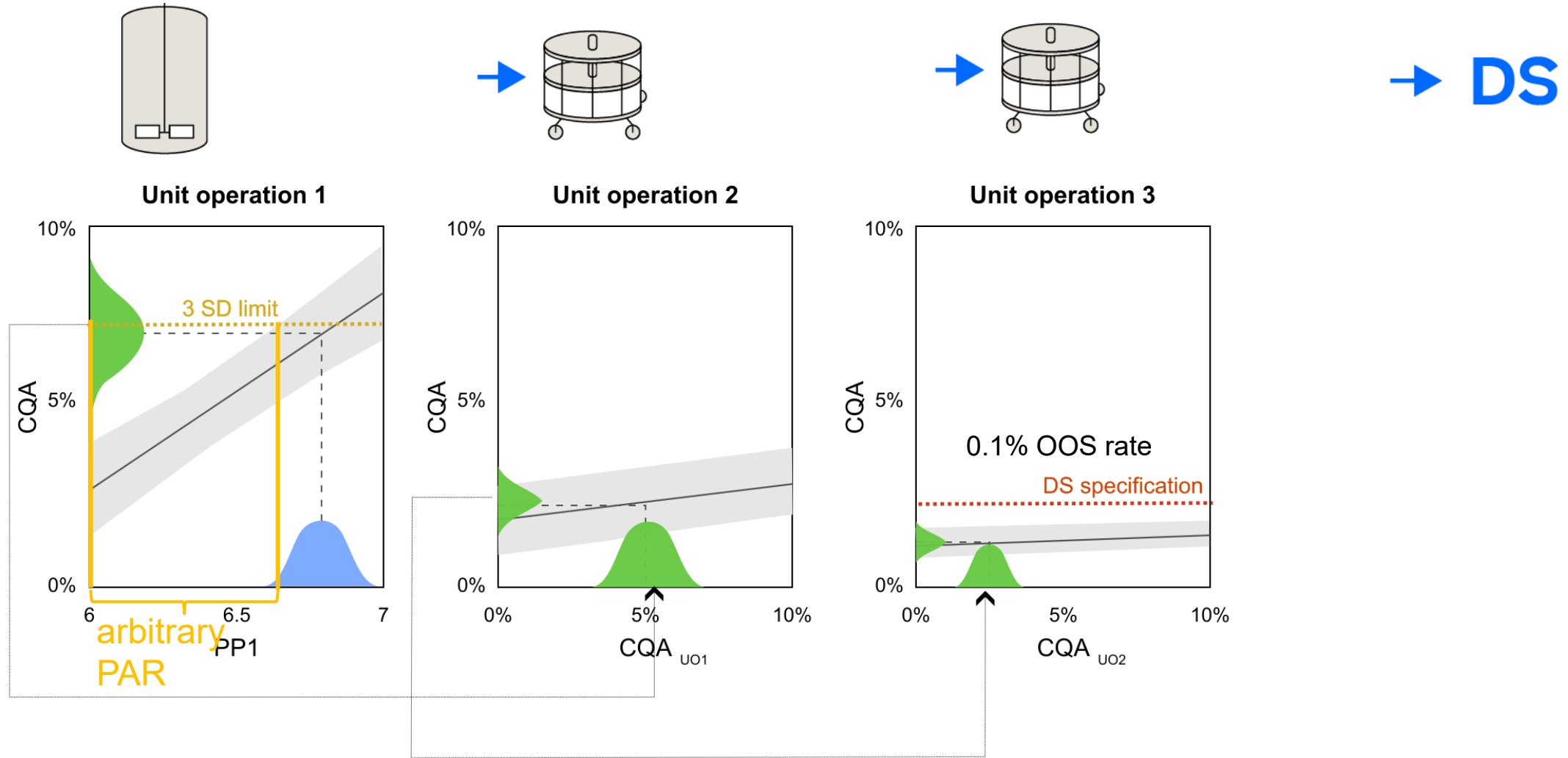
2.3.2.3 Overall Control Strategy Representation

The OCS is a holistic and integrated approach encompassing considerations from the CQAs to the end-to-end controls, describing how the individual control strategies interact to ensure product quality (ICH Q6A/Q6B, Q8, Q9, Q10, and Q11). A representation, such as a table, diagram, or flowchart of the proposed OCS should be included. This representation may cross-reference other sections of Module 2.3 (e.g., 2.3.3 CQI).

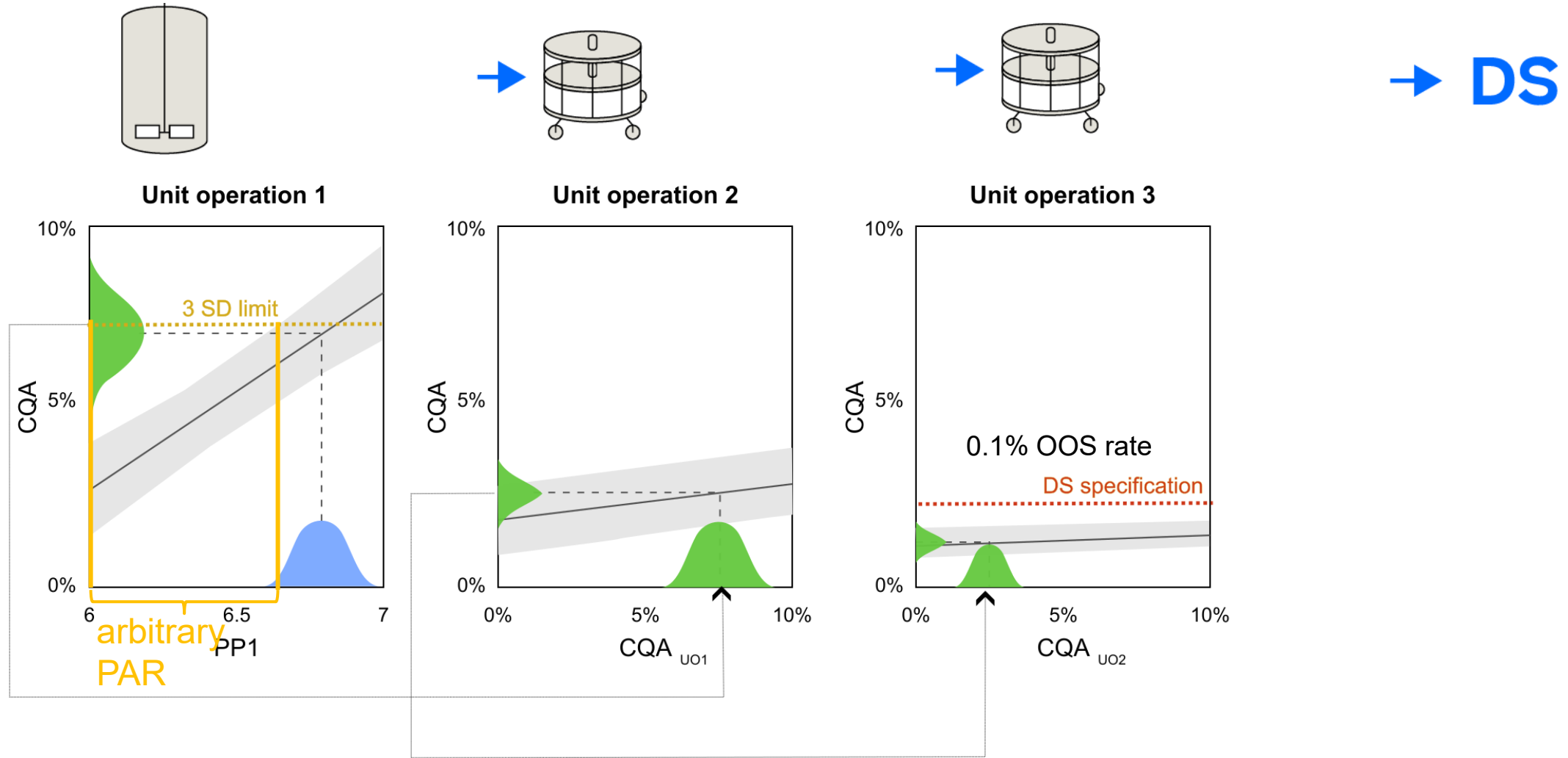
Local Control Strategies Do Not Ensure Final Product Quality



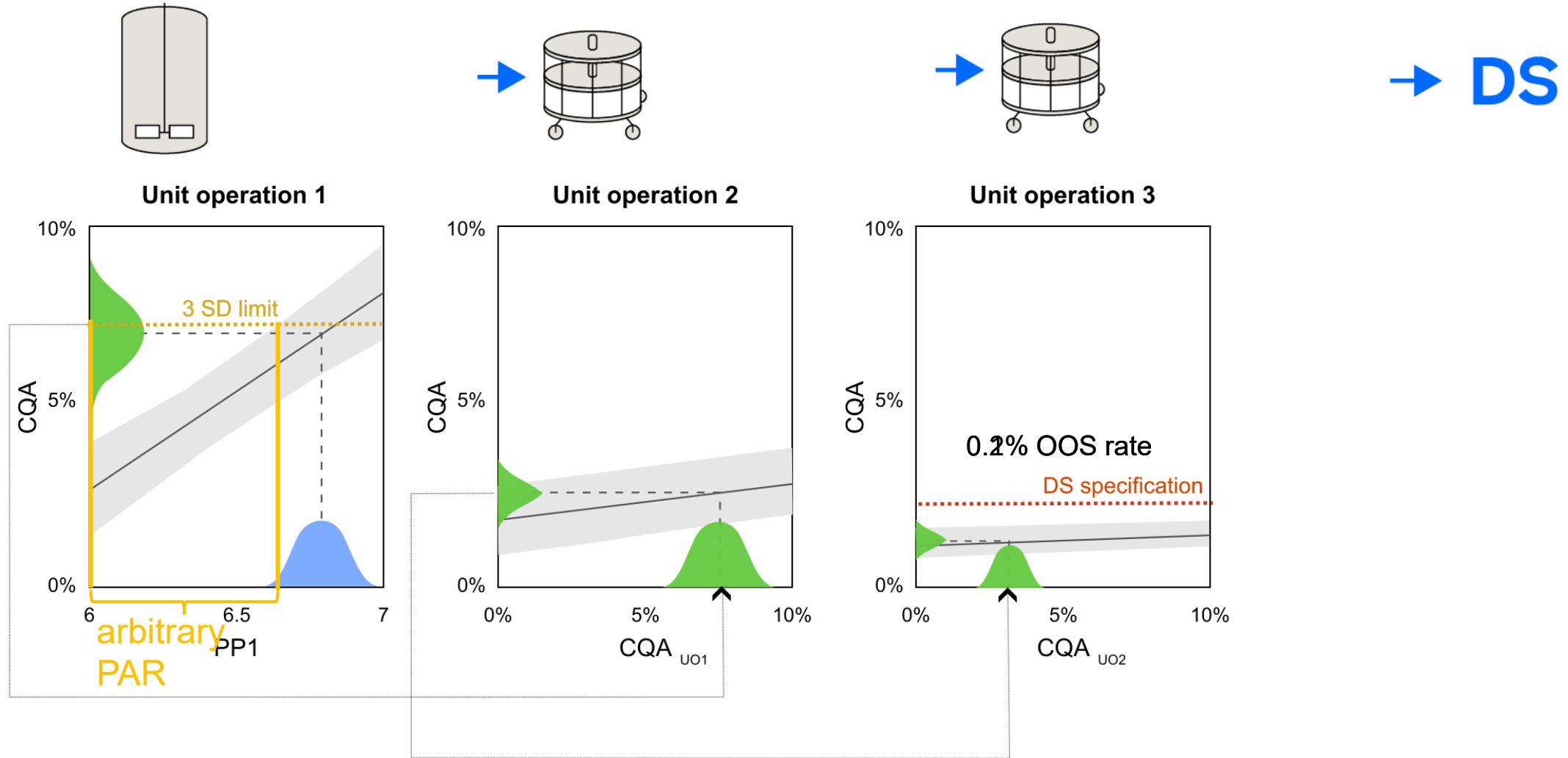
Local Control Strategies Do Not Ensure Final Product Quality



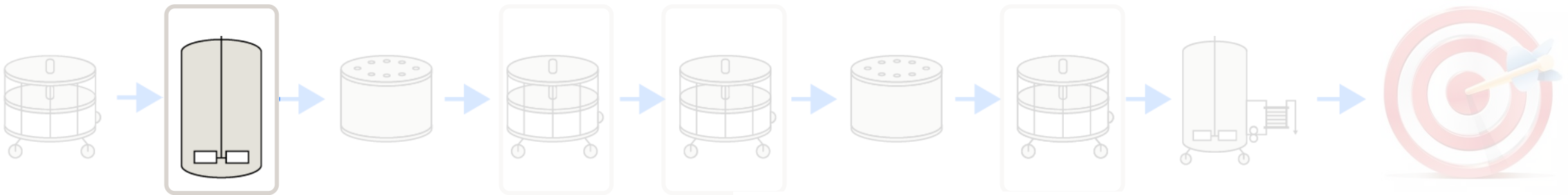
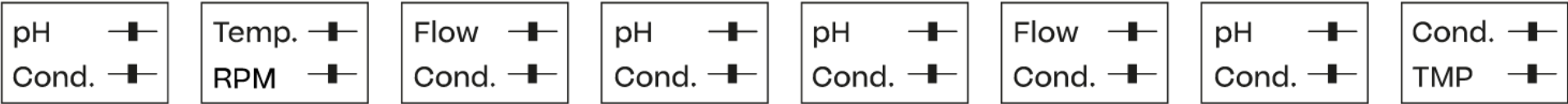
Local Control Strategies Do Not Ensure Final Product Quality



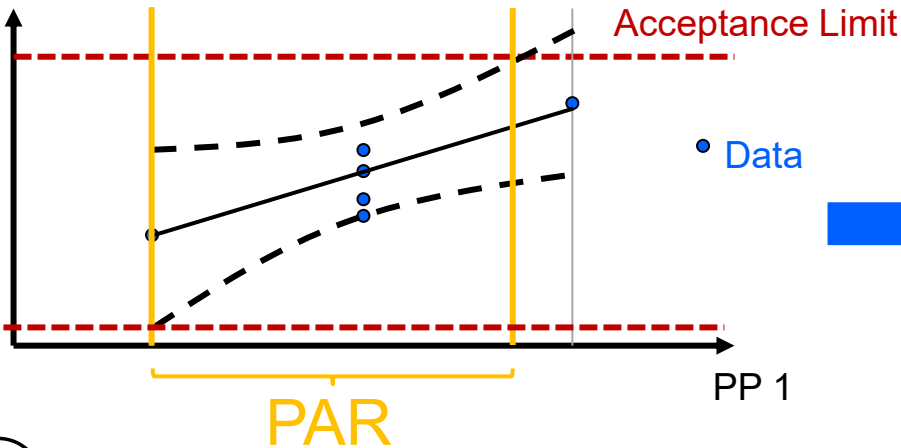
Local Control Strategies Do Not Ensure Final Product Quality



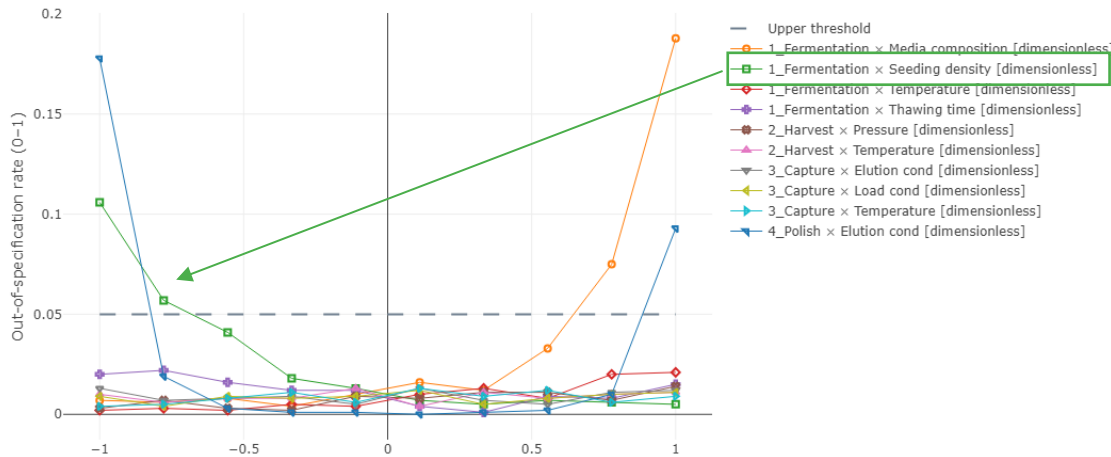
End-to-End Process Models as a Framework



CQA

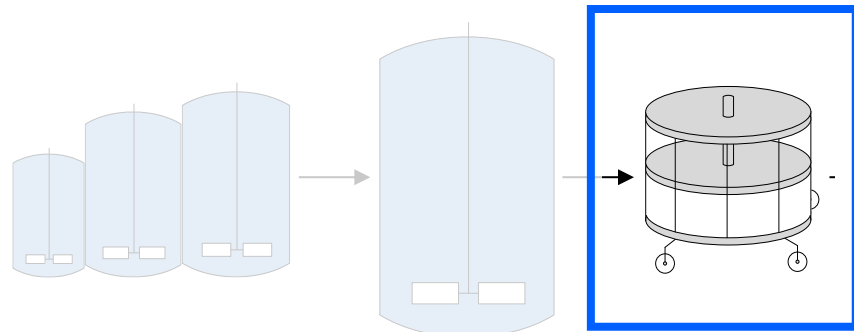


Effect of parameter variation on OOS rate of
Aggregates [dimensionless]



Only holistic control strategy allow to ensure quality of the product

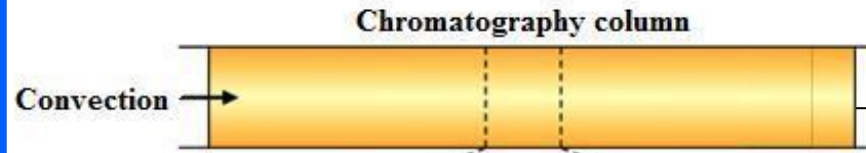
Structure of Dynamic Models in DSP



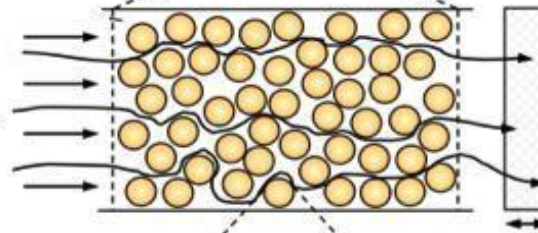
$$\frac{\partial c_i(x, t)}{\partial t} = -\frac{u}{\varepsilon_{col}} \cdot \frac{\partial c_i(x, t)}{\partial x} + D_{ax} \cdot \frac{\partial^2 c_i(x, t)}{\partial x^2} - \frac{(1 - \varepsilon_{col})}{\varepsilon_{col}} \cdot \left(\frac{3}{r_p} \cdot k_{eff} (c_i(x, t) - c_{p,i}(x, t)) \right)$$

$$\frac{\partial c_{p,i}(x, t)}{\partial t} = \frac{3}{r_p} \cdot \frac{k_{eff,i}}{\varepsilon_p} \cdot (c_i(x, t) - c_{p,i}(x, t)) - \frac{1 - \varepsilon_p}{\varepsilon_p} \cdot \frac{dq_i(x, t)}{dt}$$

$$k_{kin,i} \cdot \frac{dq_i(x, t)}{dt} = k_{eq,i} \cdot \left(\Lambda - \sum_j (v_j + \sigma_j) \cdot q_j(x, t) \right)^{v_i} \cdot c_{p,i}(x, t) - q_i(x, t) \cdot c_s(x, t)^{v_i}$$

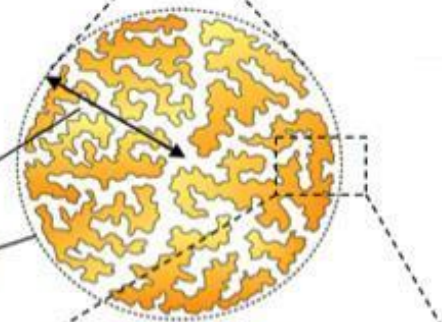


Axial dispersion

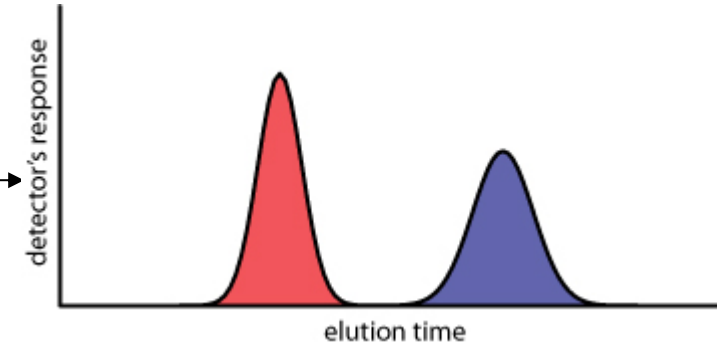
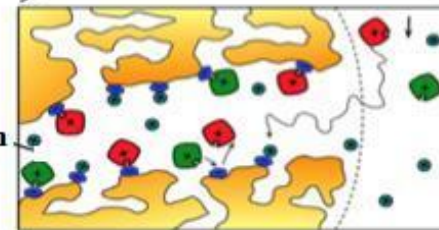


Intra-particle diffusion

Mass transfer through external film



Surface diffusion



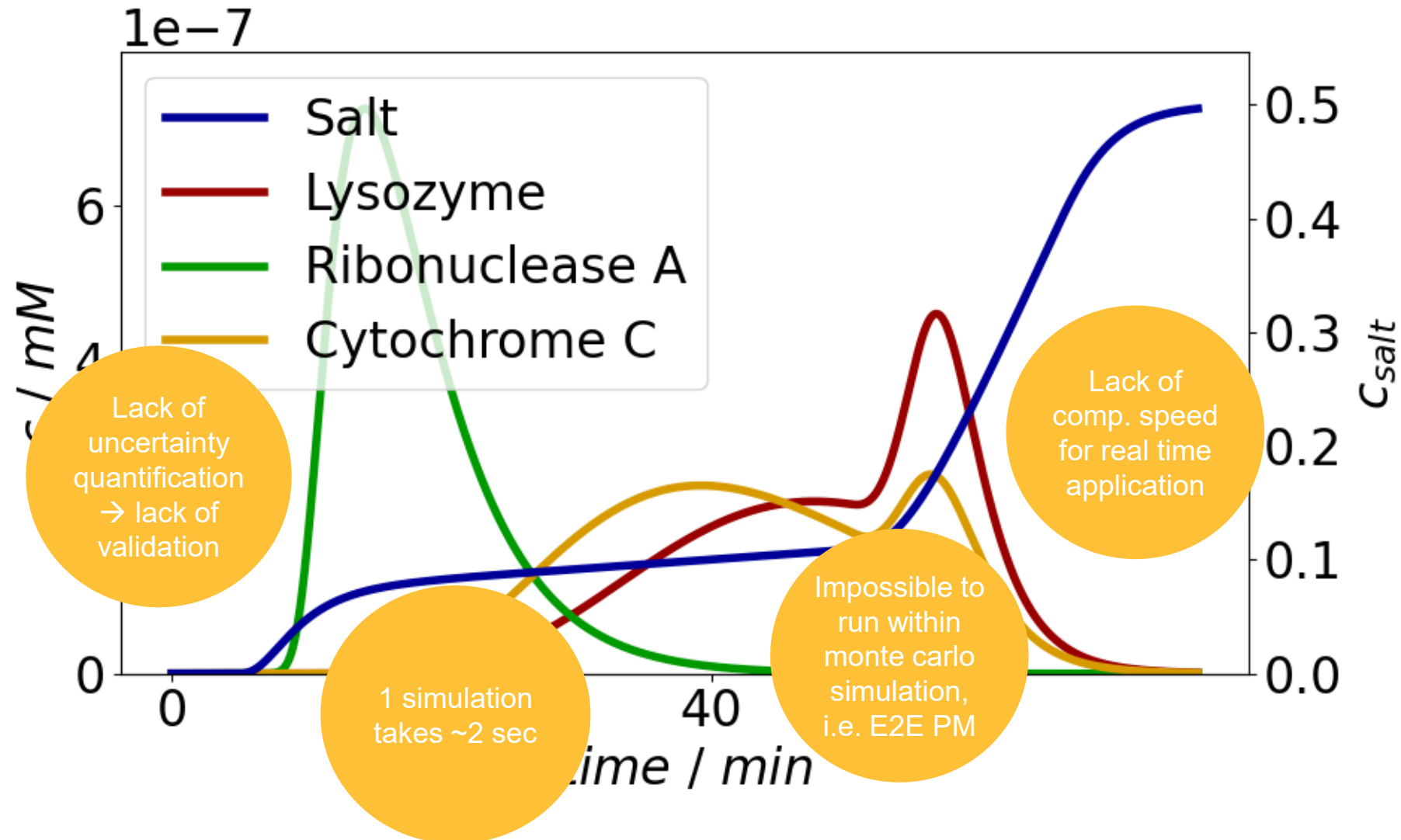
Boundary conditions:

$$\frac{\partial c_i}{\partial x}(0, t) = \frac{u}{D_{ax}} \cdot (c_i(0, t) - c_{in}(t))$$

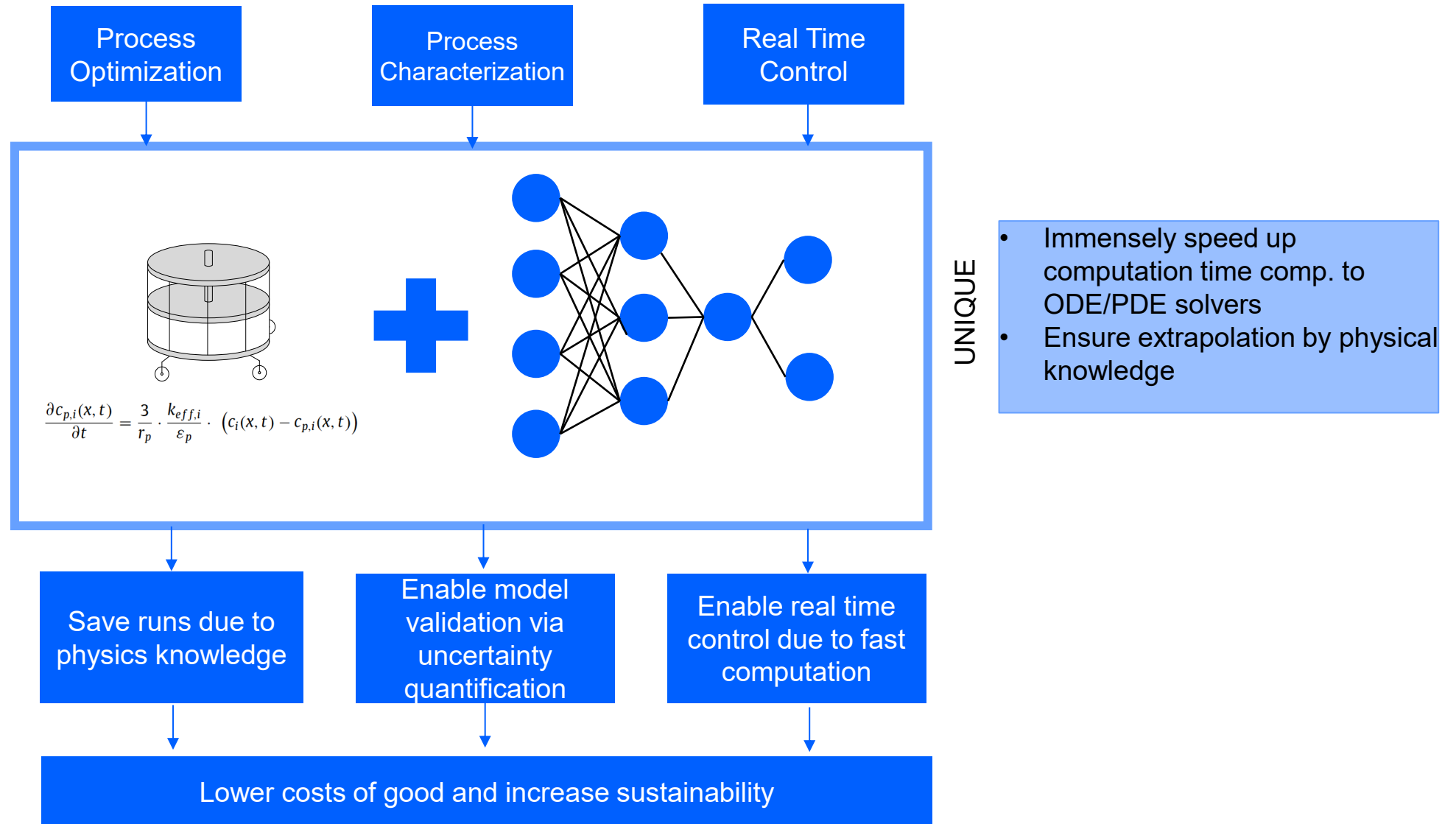
$$\frac{\partial c_i}{\partial x}(L_{col}, t) = 0$$

Known Bottlenecks of Conventional Mechanistic and Hybrid Models

Osberghaus et al. example on CEX column with SMA isotherm

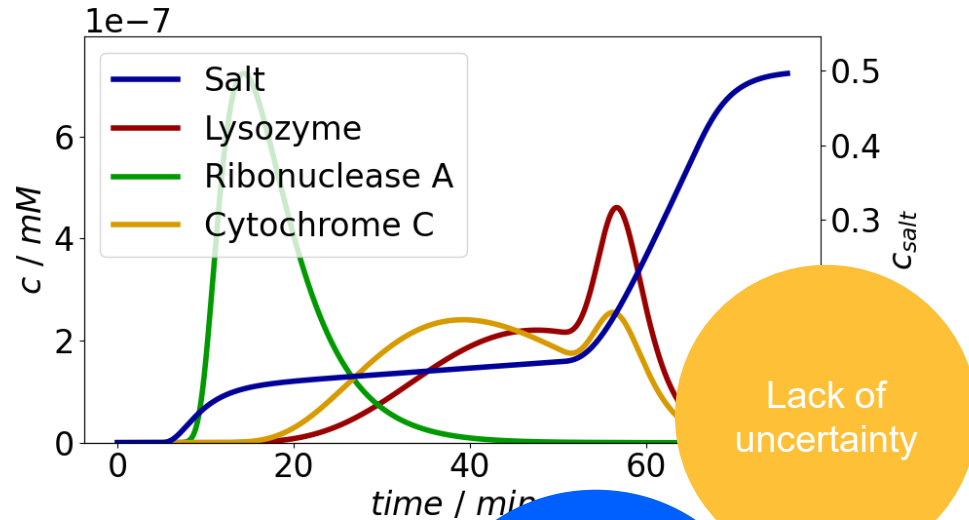


Physics Informed AI: A Disruptive Breakthrough Technology



Proof of Concept: Speed Comparison of Conventional Solvers to Physics Informed AI

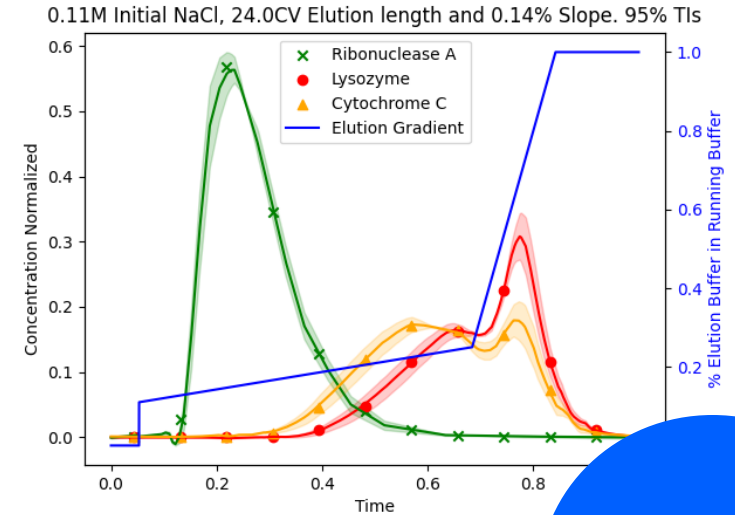
Gold Standard: CADET simulator



Lack of uncertainty

1 iteration:
~1.8 sec

Novel Physics Informed AI



Uncertainty intervals for dynamic models!

1000 times faster on CPU!!!

1000 iterations:
~1.8 sec

Capabilities of End-to-End Models, Digital Shadows and Digital Twins

Optimize process
Set Holistic Control Strategy
Speed-up process Development

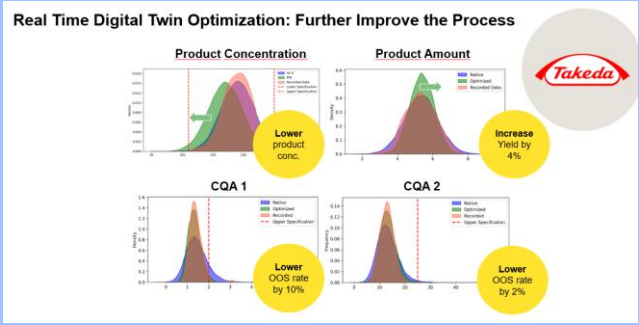
Real-time prediction
warnings/monitoring

Real-time
optimization

Model

Digital Shadow

Digital Twin



<https://virtual.ispe.org/p/s/end-to-end-digital-twins-as-life-cycle-companions-11293>

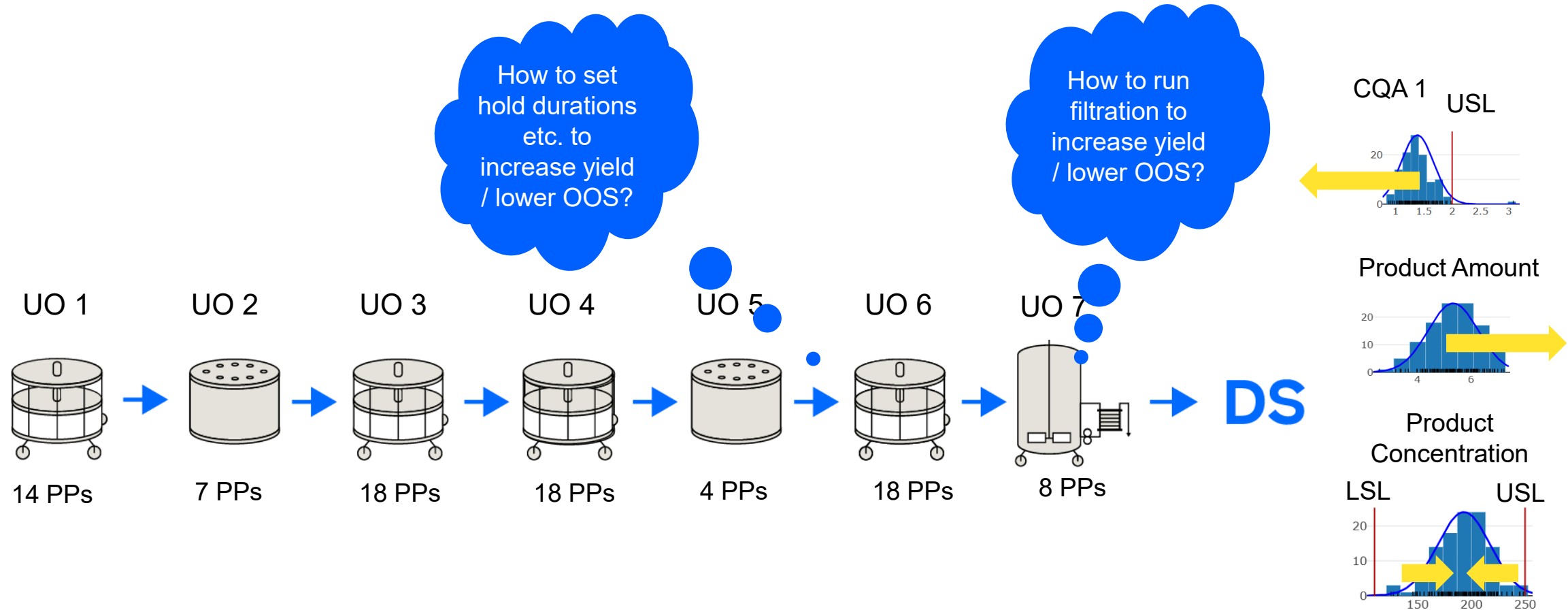
1 Establish holistic control strategy and Save 70 % experiments in PD

2 Real-time monitoring and impact of deviations

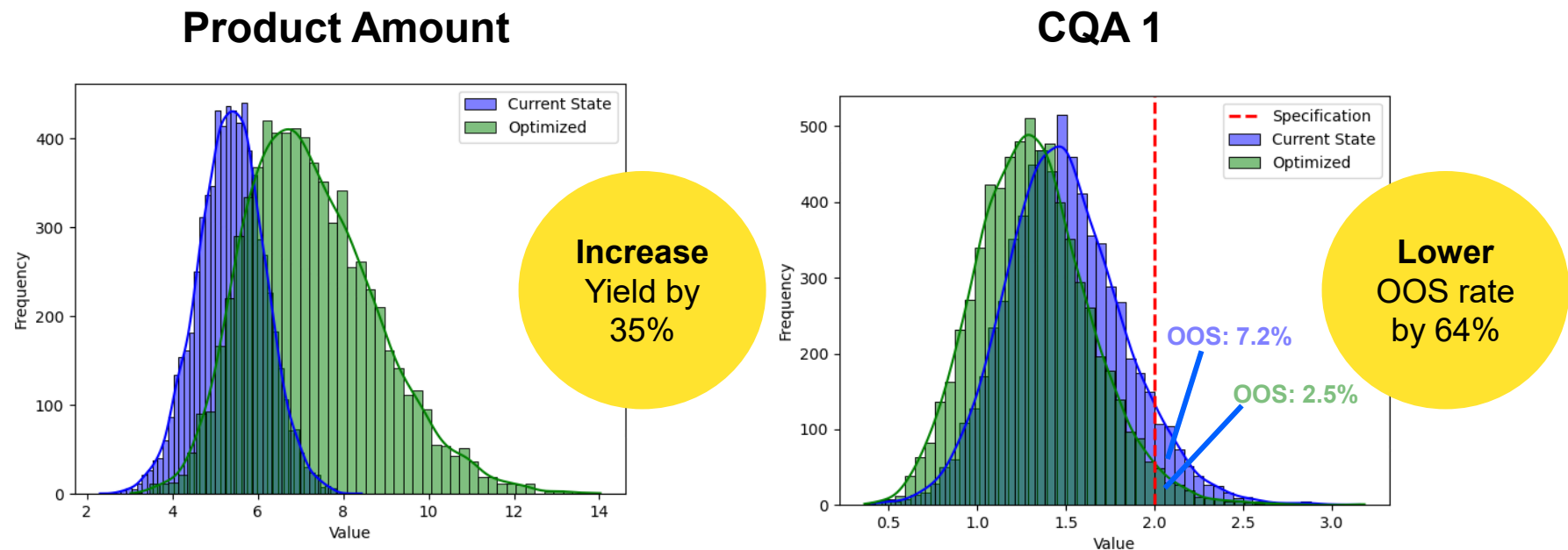
3 Increase yield and quality by 5-10%

Digital Twin @ Takeda

Takeda Question of Interest: How to increase yield and meeting quality criteria at the same time?



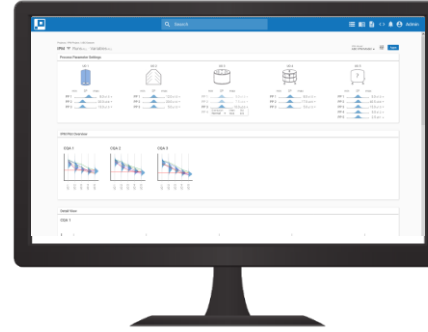
Process Model Offline Optimization Potential



Tunable PPs*	Hold Duration	TMP	Flow rate end	Flow rate start	Hold Duration	Process Duration
Unit Operation	UO 3	UO 4	UO 4	UO 4	UO 7	UO 6
Current State	5.7	0.5	318.9	345.6	8.1	23.4
Optimized	9.0	0.7	215.6	411.7	3.1	20.6

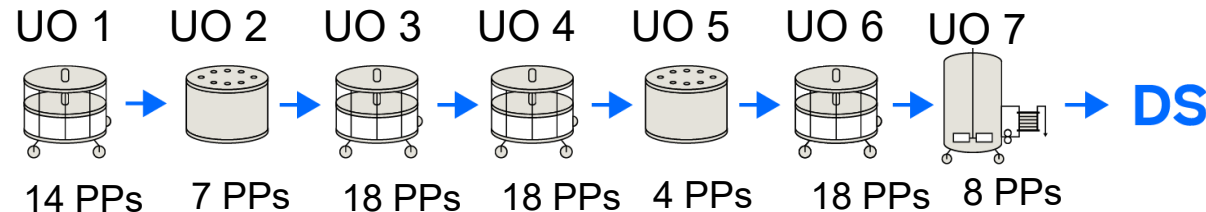
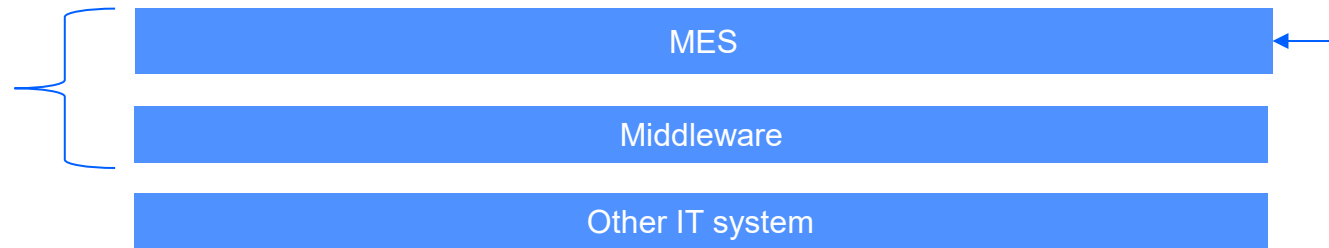
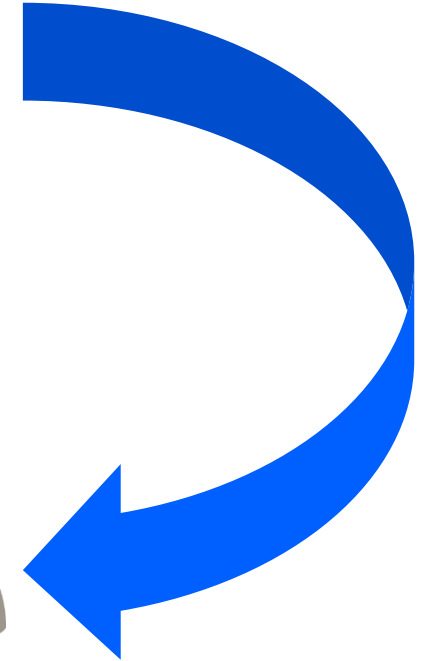
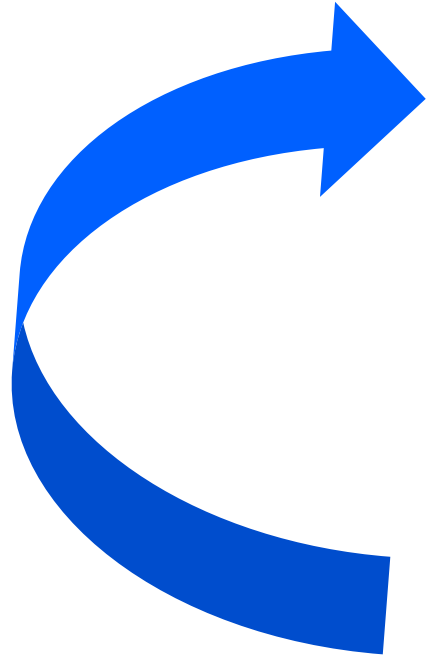
Takeda Use Case Description of the Digital Twin

Measurable process parameters are sent to the process model via established interfaces (mature and used also for other tools)



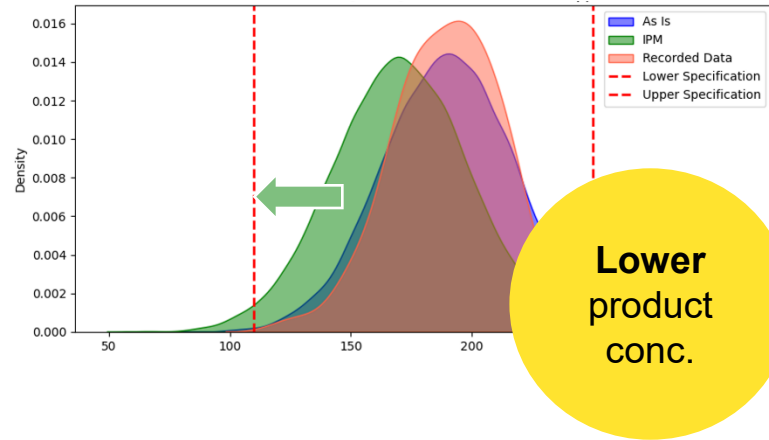
End-to-end process model predicting final CQA & product amount as a function of PP of all steps

Recommendations how to change the setpoint of **tunable process parameters** are sent to the MES system for human approval

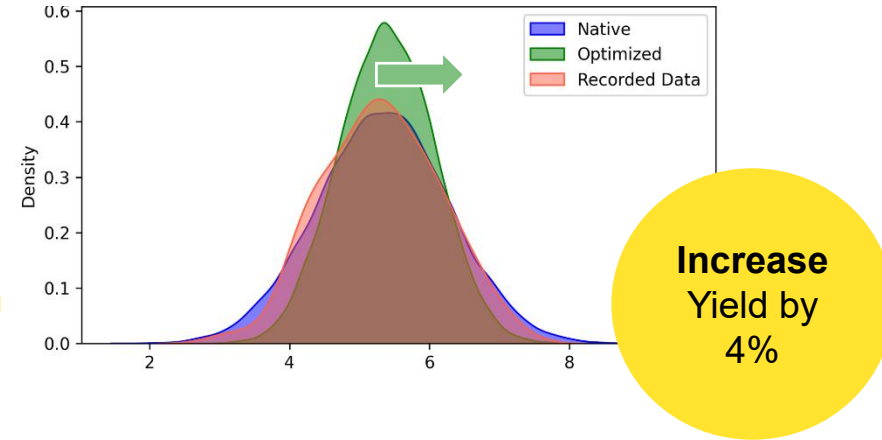


Real Time Digital Twin Optimization: Further Improve the Process

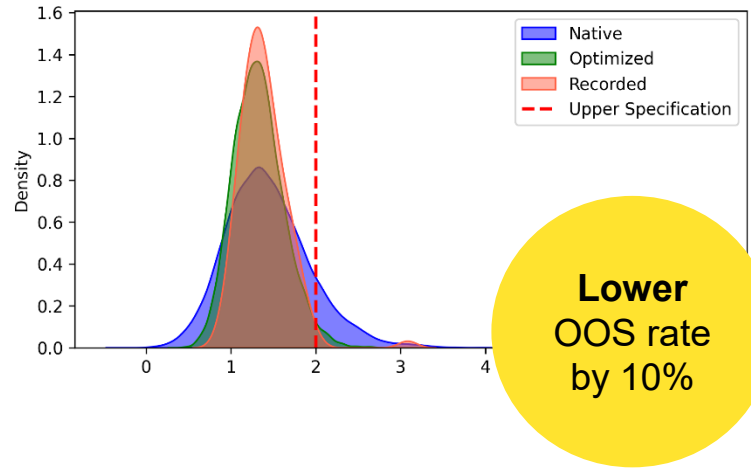
Product Concentration



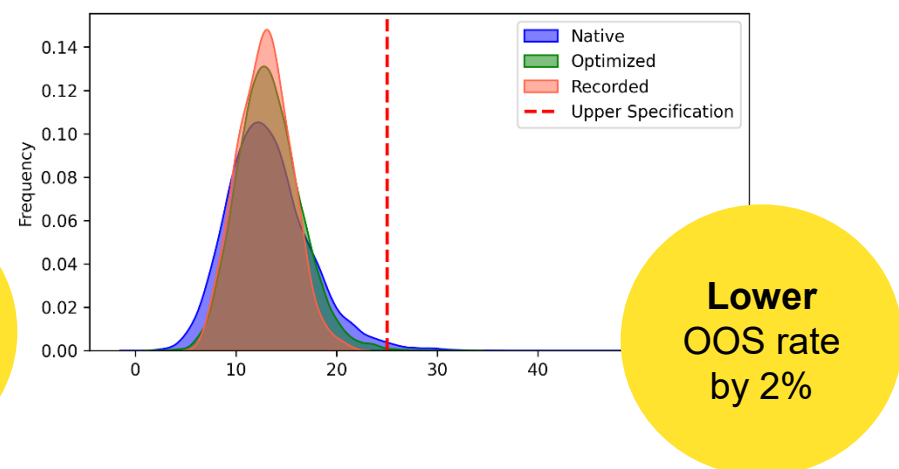
Product Amount



CQA 1



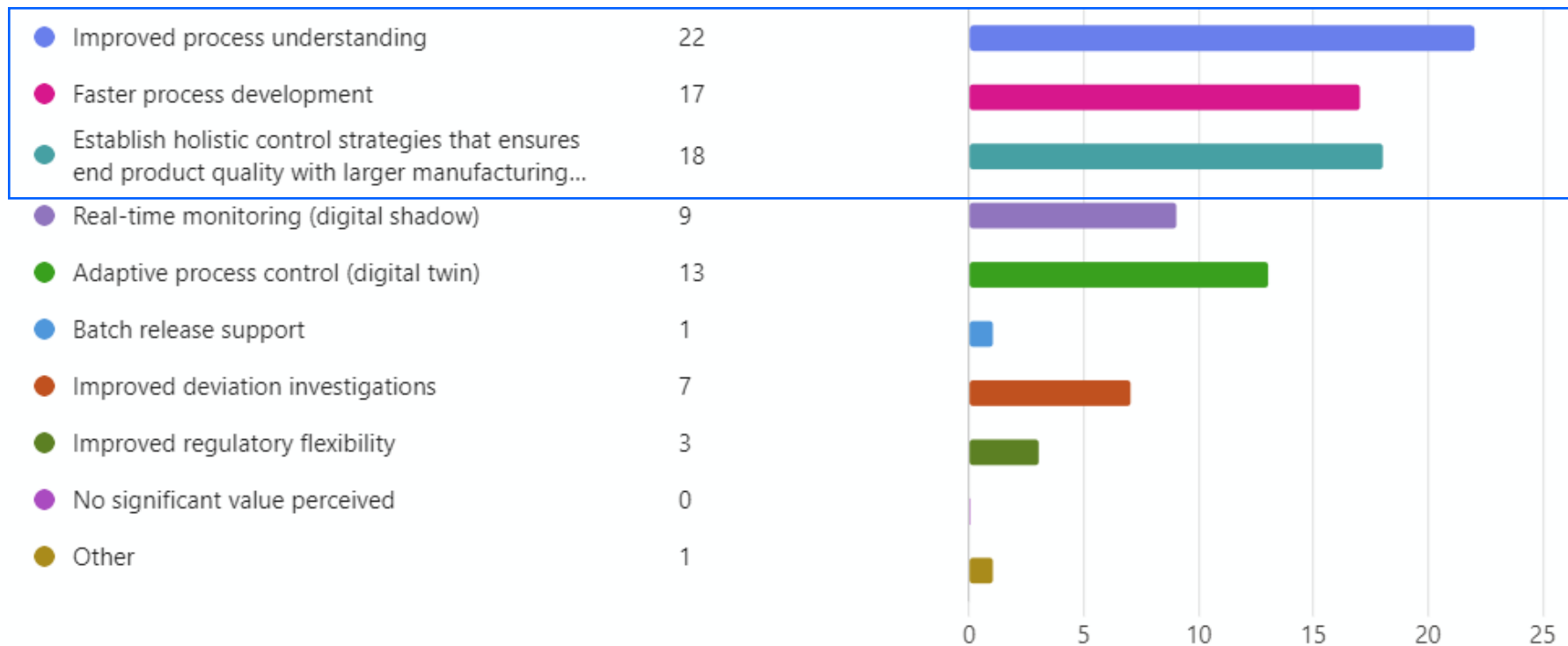
CQA 2



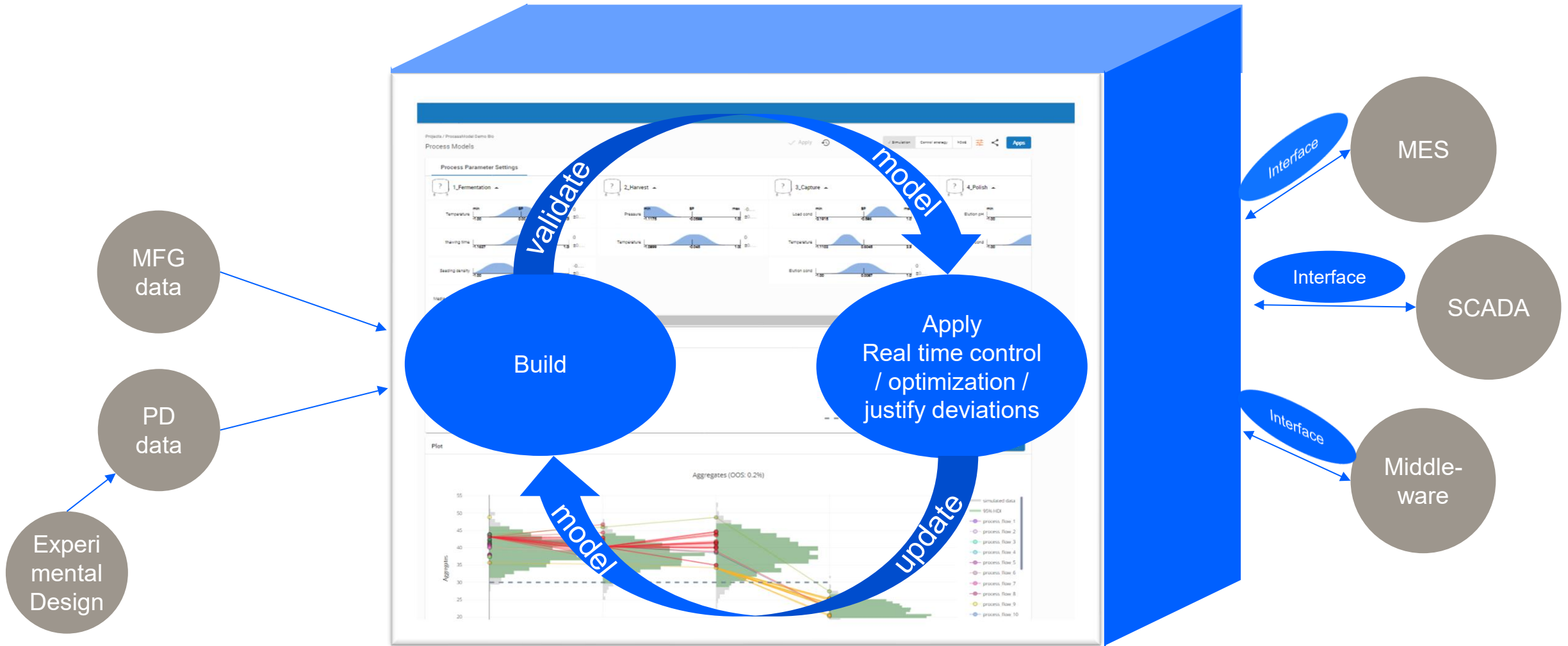
Survey: Perceptions and Barriers Regarding Advanced Modelling and Adaptive Control Strategies – 34 participants

4. In which areas do you believe advanced integrated/end-to-end process models could provide the greatest value?

[More details](#)

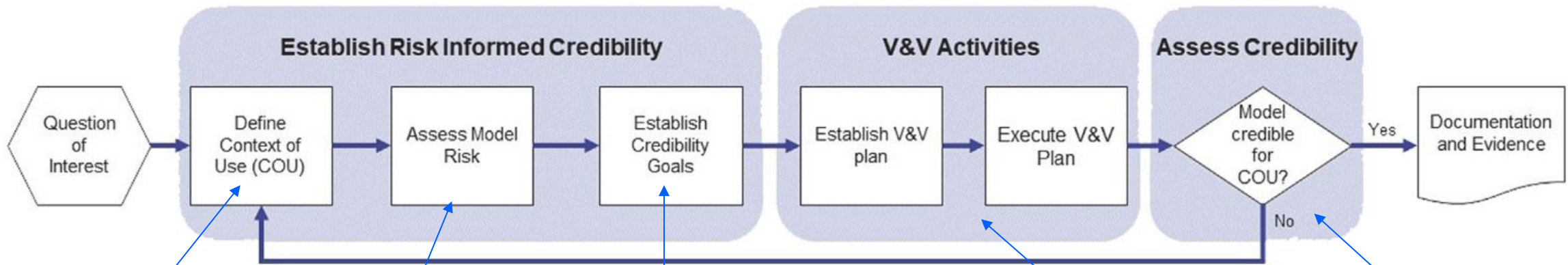
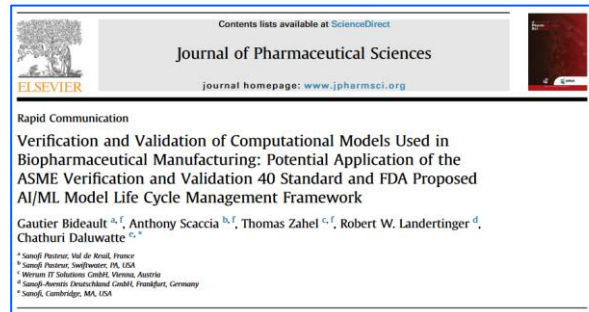


Model Lifecycle & Validation



Verification, Validation and Uncertainty Quantification

ASME V&V 40 risk-informed credibility assessment framework



bioRxiv preprint doi: <https://doi.org/10.1101/2023.08.15.545888>; this version posted August 15, 2023. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY-NC-ND 4.0 International license.

Which model?
How will the model be used?

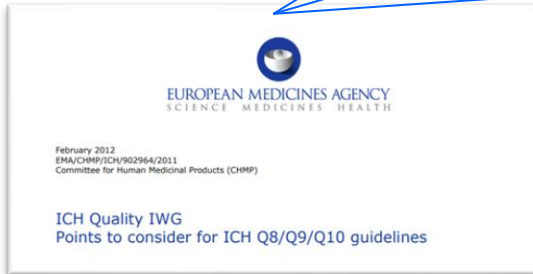
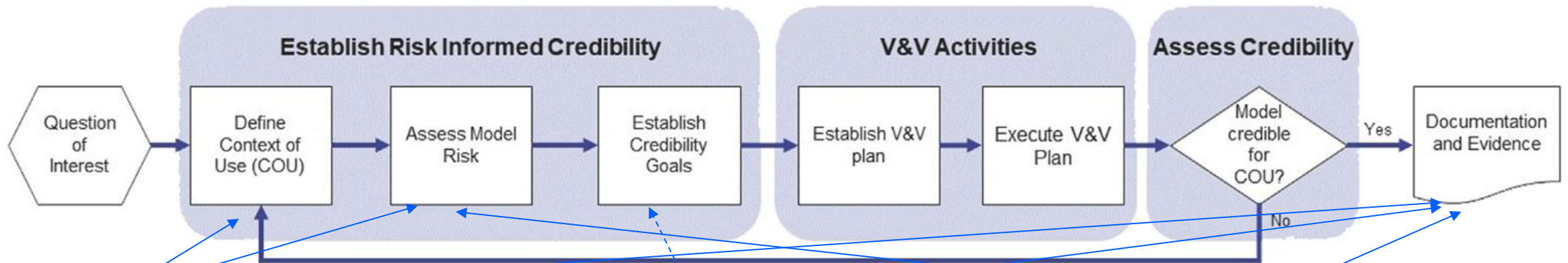
What is the risk to product quality?

- Accuracy
- Generalizability
- Numerical stability
- Assumptions: General model assumptions based on model type
-

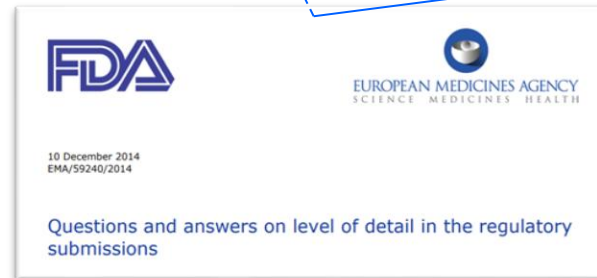
- E.g. RMSE, Leverage of individual runs, R^2 - Q^2 , externally studentized residuals

Applicability:
Check if the model works as required for the context of use

Regulatory Landscape Dealing with Process Model Validation



- Focus of on “5. Role of Models in QbD”
- Categorization of models (low/medium/high) commensurate with model’s contribution in assuring the quality of the product
- Development workflow for models
- What to be reported in submissions



- Credibility goals for DOE type of models mentioned (ANOVA; p-values,
- Submission of design space models

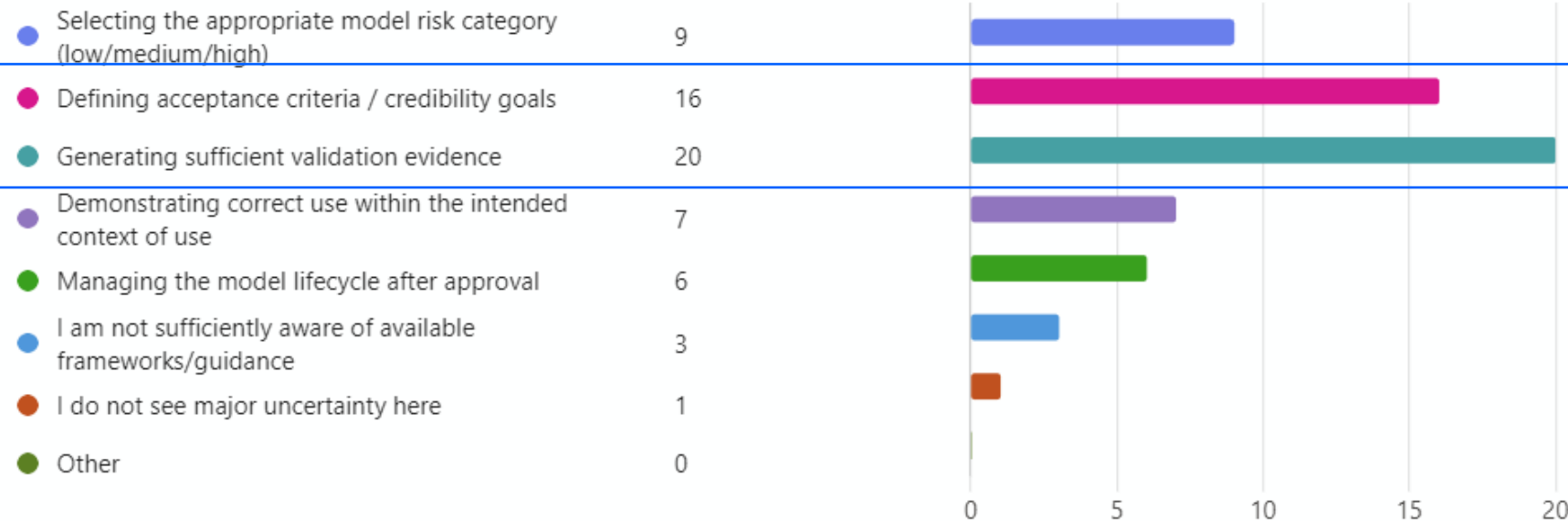


- Categorization of model risk (low/medium/high)
- What to report in a dossier

Survey: Perceptions and Barriers Regarding Advanced Modelling and Adaptive Control Strategies – 34 participants

6. For model validation/credibility, where do you see the greatest remaining uncertainty?

[More details](#)



ICH Q2(R2) is explicit on Validation Workflow and Performance Characteristics

- ICH Q2 describes a clear validation workflow and states performance characteristics to be demonstrated
 - Accuracy
 - Precision
 - Specificity
 - Repeatability
 - ...
- A true workflow and acceptance criteria / credibility goals per model type are missing for process models

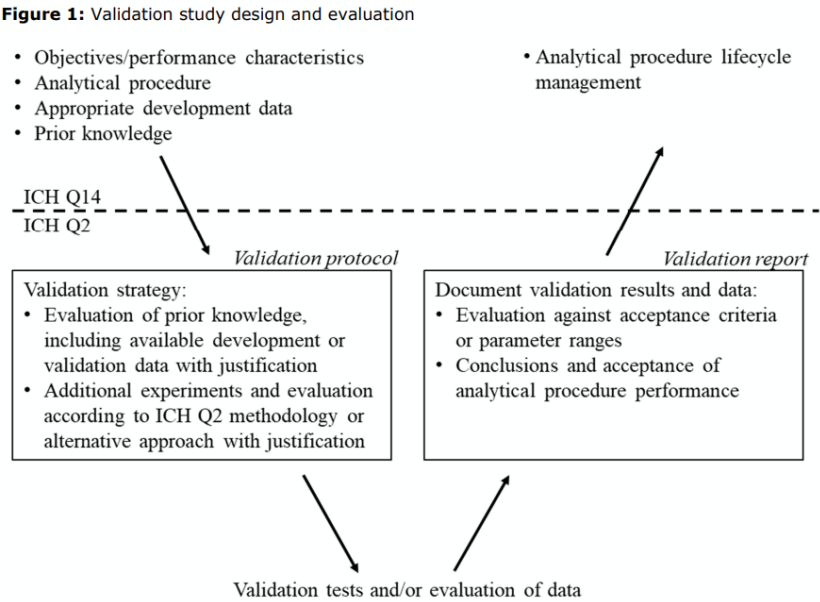


Table 1: Typical performance characteristics and related validation tests for measured quality attributes

Measured Quality Attribute	IDENTITY	IMPURITY (PURITY)		ASSAY Content or potency
		Other quantitative measurements (1)		
Analytical Procedure Performance Characteristics to be Demonstrated (2)		Quantitative Test	Limit Test	Other quantitative measurements (1)
Specificity (3) Specificity Test	+	+	+	+
Range Response (Calibration Model)	-	+	-	+
Lower Range Limit	-	QL ¹	DL	-
Accuracy (4) Accuracy Test	-	+	-	+
Precision (4) Repeatability Test	-	+	-	+
Intermediate Precision Test	-	+(5)	-	+(5)

Credibility Goals for Process Models

Verification & Validation activates are specific to (1) context of use and (2) model type. General considerations should include:

- ❑ Check **choice model** form
- ❑ Check if **input data** for modelling is **representative for final process**
- ❑ **Check model assumptions**
- ❑ **Check uniqueness of model (numerical stability)**
- ❑ Check model accuracy vs. required accuracy
- ❑ Check for **generalization** on (challenging) **unseen test data**

4

G. Bideault et al. / Journal of Pharmaceutical Sciences xxx (2021) 1-5

Table 2
Credibility Activities and Associated Credibility Goals as Defined in V&V 40 Standard.

Activities	Credibility Factors		Credibility Goals
Verification	Code	Software Quality Assessment (SQA)	The level of SQA is informed by model risk. The rigor of SQA can be anywhere between no SQA to rigorous anomaly lists based on model risk.
		Numerical code verification	Captures how well the code solves compared to a known benchmark. The rigor for numerical code verification can be anywhere between not performed to rigorous numerical code verification.
	Calculation	Discretization error *The term Discretization error is not frequently used with models used in biopharmaceutical manufacturing. Similar concept may be sampling interval for time series and/or sampling strategy to acquire required granularity in data.	Captures the error associated with chosen mesh for finite element models. Similar concept for statistical and ML models may be granularity of the validation dataset. E.g. If an input has the range of 1 –10 for the COU, whether to cover the range with increments of 1 or increments of 0.1 during verification is informed by the model risk. The rigor for verification can be anywhere between not performed to rigorous verification.
		Numerical solver error	Test whether same output is reproduced in the computational environment. The rigor for verification can be anywhere between not tested to rigorous testing.
		Use error	Test the human error e.g.: outputs when typed a wrong value. The rigor for verification can be anywhere between no User Acceptance Testing (UAT) to rigorous UAT.
Validation	Computational model Comparator	Model Form	Confirm that the model form is suitable for the QOI and COU.
		Model inputs	Confirm that the model inputs are suitable for the QOI and COU.
	Assessment	Test samples	Confirm that the test samples sufficiently cover QOI and COU (e.g.: sample size, sampling rate/granularity).
		Test conditions	Confirm that the test conditions sufficiently cover QOI and COU (e.g. conditions samples were collected).
		Equivalency of input parameters	Assess the similarity/difference between the model input data to that of the real-world scenarios the model will operate in (e.g.: experiment conditions, stratification of test dataset). This informs the credibility of the model for the COU and QOI (e.g. whether the inputs used in V&V activities covered all scenarios the model will operate in real-world).
Applicability		Output comparison	Assessing the equivalency of outputs from model with respect to the experiment or test dataset is done using <i>performance metric and acceptance criteria</i> combinations. As an example, assume one of the selected performance metrics for a regression model is R ² . Then, an example of the model risk informed acceptance criteria for R ² , would be, strictly set criteria for a high-risk model i.e. R ² > 0.99999 while for a low risk model, the acceptance criteria would be less strict i.e. R ² > 0.8.
		Relevance of the validation activities to QOI Relevance of validation activities to the COU	Determination that the V&V plan sufficiently cover the model operating domain in terms of COU and QOI.

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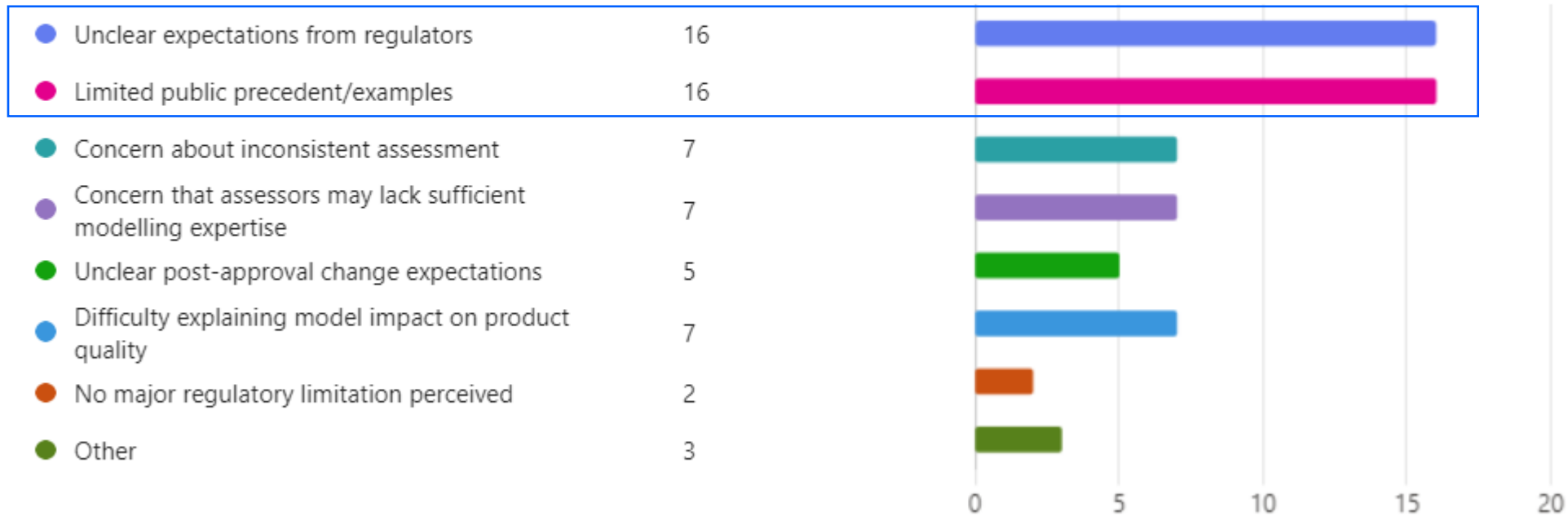


Model types are limited (data driven, mechanistic, hybrid) and general and specific credibility goals would be possible to harmonize/agree on



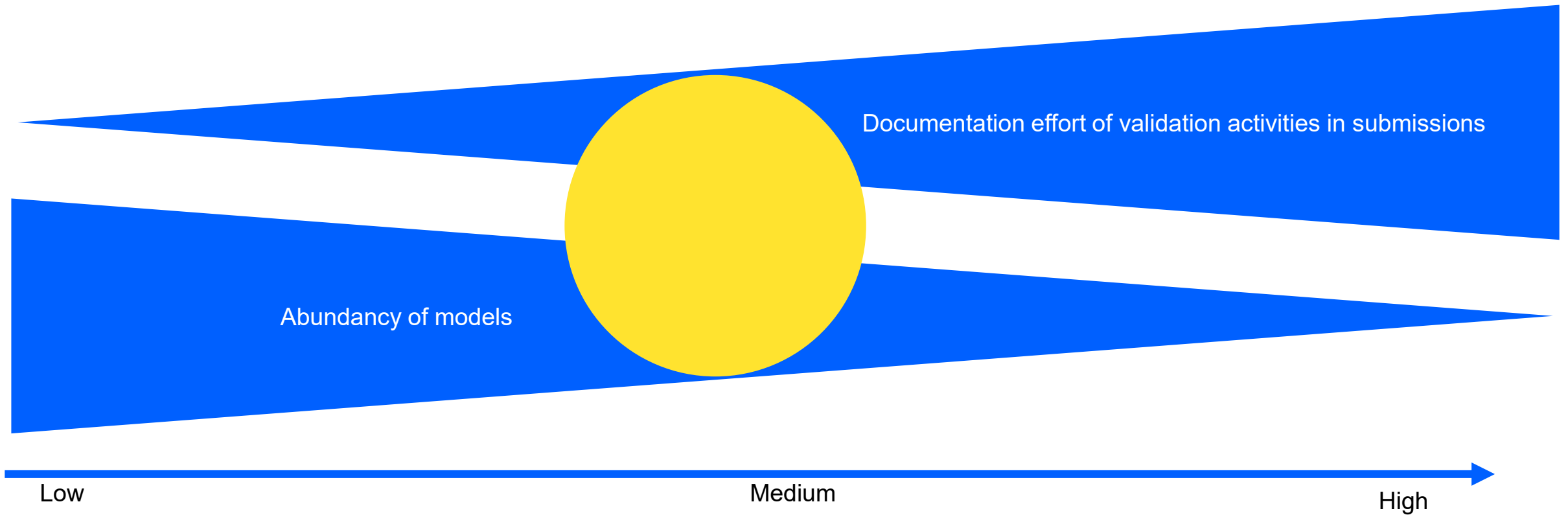
7. What regulatory factors most limit use of advanced models in filings or GMP implementation?

[More details](#)



How Can We Generate Experience i.r.t Model Validation?

Medium risk models would be a good place to gain experience and have a common learning curve on model validation
Clear definition on what is medium risk is required!



Conclusions

- End-to-end process models enable to model what really matters → final product quality. It has been shown and published that those model should be applied as:
 - Offline process models: holistic control strategy ensuring meeting final product quality (low OOS)
 - Digital Shadows (monitoring of manufacturing)
 - Digital Twins (manufacturing Control) with increased yield and quality
- Physics informed AI models can help to speed up solution and application of mechanistic models in End-to-End Process Models (within Monte Carlo Simulation)
- Regulatory expectations may be clarified to reduce uncertainty and increase efficiency during model validation:
 - Workflow that includes general principles of credibility/acceptance criteria and validation protocol and execution (similar to ICH Q2 / Q14 for analytical method development)
 - Clear definition and looking into medium critical models to gain experience for all stakeholders, even if this is supporting information

Thank you!



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