

# Comparability approach for an individualized product



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# Agenda

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- mRNA as a therapeutic platform technology
- The iNeST (individualized Neoantigen-Specific Therapy) concept
- Clinical testing of an mRNA-based iNeST
- Comparability for an individualized product

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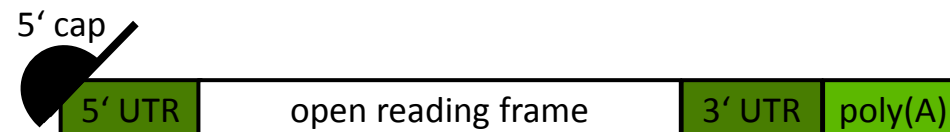
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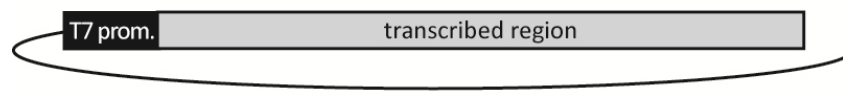
# mRNA as a therapeutic platform technology

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Therapeutic messenger (m)RNAs are used to introduce the genetic information for a protein, encoded by the respective mRNA, into a cell of interest

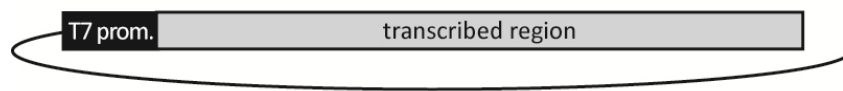
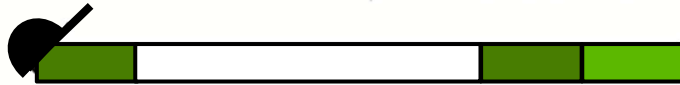


# *In vitro* mRNA transcription



linear DNA template

transcription using T7 RNA pol.,  
cap (✓), ATP, GTP, CTP, UTP

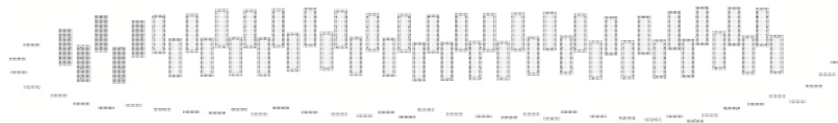


*in vitro* transcribed mRNA  
(> 500 copies per DNA template)

hydrolysis of template DNA  
with DNase I



raw reaction mixture  
with mRNA and impurities  
(T7 RNA pol., remaining building blocks,  
hydrolyzed DNA, ...)



one process can be used to manufacture essentially any mRNA sequence

# Applications for mRNA therapeutics

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## Direct application of mRNA:

- Cancer immunotherapy
  - Induction of antigen-specific T cells by expression of corresponding tumor-associated antigens in dendritic cells
  - Expression of immune-modulating molecules (antibodies, cytokines, ...)
- Vaccination against viral infections
- Transcript (or protein) replacement therapy

## mRNA-transfected cells:

- Cancer immunotherapy
  - Induction of antigen-specific T cells by expression of corresponding tumor-associated antigens in dendritic cells
  - Expression of T cell receptors or so-called CARs (chimeric antigen receptors) in T cells
- mRNA-induced pluripotent stem cells
- Genetically engineered cells using mRNA coding for zinc-finger nucleases or CRISPR/CAS9

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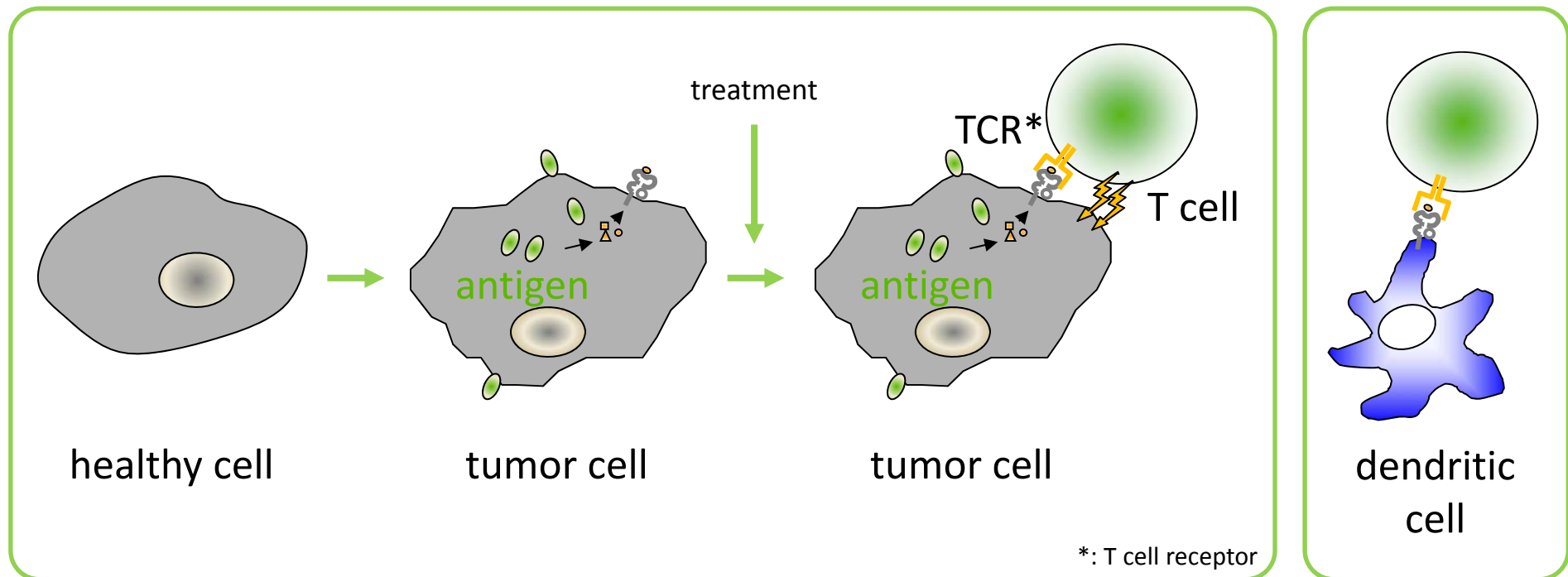
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# Cancer immunotherapy

## Induction of tumor-associated antigen-specific T cells



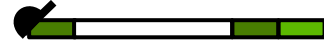
# Cancer immunotherapy

## Induction of tumor-associated antigen-specific T cells



DNA

- Plasmid DNA
- Recombinant DNA-virus



RNA

- mRNA
- Recombinant RNA-virus



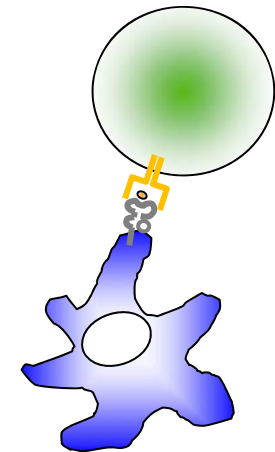
Protein

- Recombinant proteins
- Virus-like particles



Epitope

- Synthetic peptides



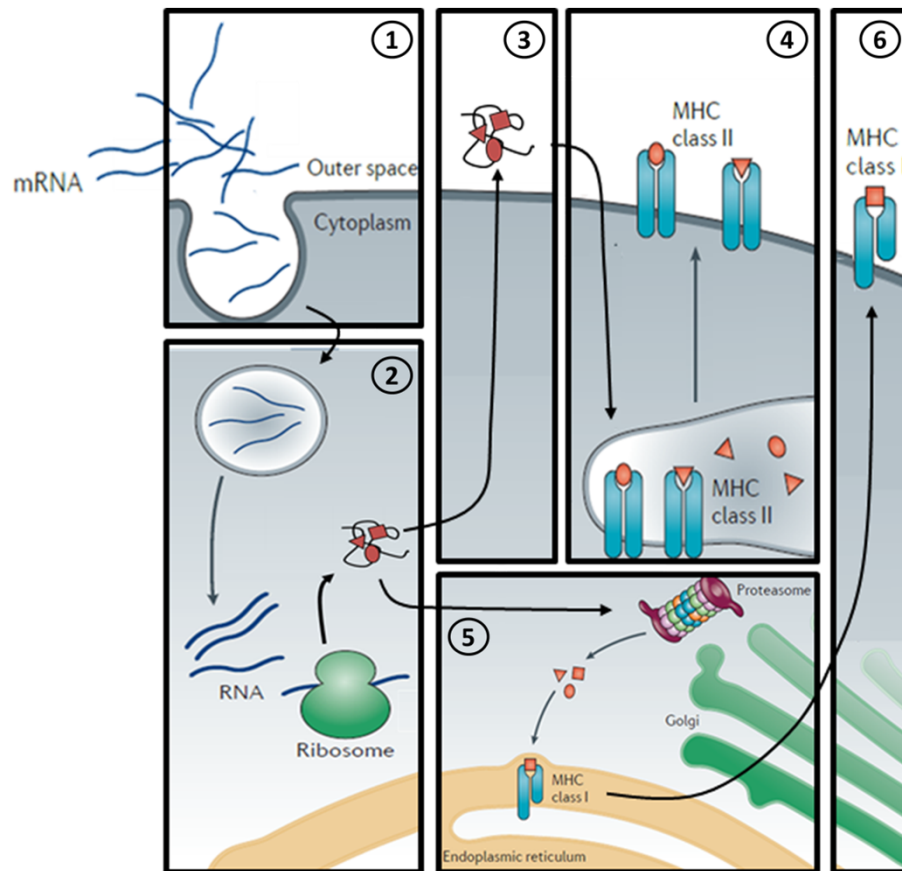
dendritic cell

## Advantages of mRNA

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- No integration into the genome
- Transient expression of the encoded antigen
- Needs only to reach the cytoplasm, not the nucleus
- Degraded into nucleotides, i.e. no toxic metabolites
- Antigen delivery independent of HLA-haplotype
- Induction of CD8+ and CD4+ T cell responses
- mRNA acts as its own adjuvant (recognition by toll-like receptors, PKR and members of the RIG-I family)
- Relatively simple production process independent of the sequence
- Possibility to introduce new functionalities through sequence modifications and chemically modified building blocks

# Delivery and mechanism of action



dendritic cell

- Local injection of mRNA into lymph nodes leads to uptake by resident dendritic cells
- Induction and expansion of antigen-specific CD8<sup>+</sup> and CD4<sup>+</sup> T cells (via epitopes on MHC class I and II complexes, respectively)
- Systemic distribution
- Anti-tumoral effect

# Neoepitopes as superior antigenic structures



## A vaccine targeting mutant IDH1 induces antitumour immunity

Theresa Schumacher<sup>1,2\*</sup>, Lukas Bunse<sup>1,2\*</sup>, Stefan Pusch<sup>3,4</sup>, Felix Sahn<sup>3,4</sup>, Benedikt Wiestler<sup>1,5</sup>, Jasmin Quandt<sup>6</sup>, Oliver Menn<sup>1</sup>, Matthias Osswald<sup>1,5</sup>, Iris Oezen<sup>1,2</sup>, Martina Ott<sup>1,2</sup>, Melanie Keil<sup>1,2</sup>, Jörg Balß<sup>2,4</sup>, Katharina Rauschenbach<sup>1,2</sup>, Agnieszka K. Grabowska<sup>7</sup>, Isabel Vogler<sup>8</sup>, Jan Diekmann<sup>9</sup>, Nico Trautwein<sup>10</sup>, Stefan B. Eichmüller<sup>6</sup>, Jürgen Okun<sup>11</sup>, Stefan Stevanović<sup>10</sup>, Angelika B. Riemer<sup>7</sup>, Ugur Sahin<sup>9</sup>, Manuel A. Friese<sup>12</sup>, Philipp Beckhove<sup>6</sup>, Andreas von Deimling<sup>3,4</sup>, Wolfgang Wick<sup>1,5</sup> & Michael Platten<sup>1,2</sup>

## Mutant MHC class II epitopes drive therapeutic immune responses to cancer

Sebastian Kreiter<sup>1</sup>, Mathias Vormehr<sup>2\*</sup>, Niels van de Roemer<sup>2\*</sup>, Mustafa Diken<sup>1</sup>, Martin Löwer<sup>1</sup>, Jan Diekmann<sup>1,3</sup>, Sebastian Boegel<sup>1</sup>, Barbara Schrörs<sup>1</sup>, Fulvia Vascotto<sup>1</sup>, John C. Castle<sup>1</sup>, Arbel D. Tadmor<sup>1</sup>, Stephen P. Schoenberger<sup>4</sup>, Christoph Huber<sup>2</sup>, Özlem Türeci<sup>1,2,3,5</sup> & Ugur Sahin<sup>1,2,3,5</sup>

## Exploiting the Mutanome for Tumor Vaccination

John C. Castle<sup>1</sup>, Sebastian Kreiter<sup>1</sup>, Jan Diekmann<sup>1</sup>, Martin Löwer<sup>1</sup>, Niels van de Roemer<sup>1,2</sup>, Jos de Graaf<sup>1</sup>, Abderraouf Selmi<sup>1</sup>, Mustafa Diken<sup>1</sup>, Sebastian Boegel<sup>1,2</sup>, Claudia Paret<sup>1</sup>, Michael Koslowski<sup>1</sup>, Andreas N. Kuhn<sup>1,3</sup>, Cedrik M. Britten<sup>2,3</sup>, Christoph Huber<sup>1,3</sup>, Özlem Türeci<sup>4</sup>, and Ugur Sahin<sup>1,2,3</sup>

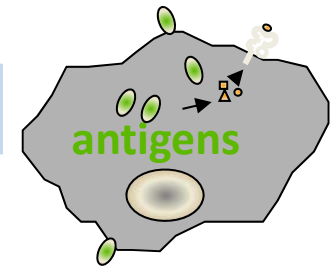
## Mutational landscape determines sensitivity to PD-1 blockade in non-small cell lung cancer

Naiyer A. Rizvi,<sup>1,2\*</sup> Matthew D. Hellmann,<sup>1,2\*</sup> Alexandra Snyder,<sup>1,2,3\*</sup> Pia Kvistborg,<sup>4</sup> Vladimir Makarov,<sup>3</sup> Jonathan J. Havel,<sup>3</sup> William Lee,<sup>5</sup> Jianda Yuan,<sup>6</sup> Phillip Wong,<sup>6</sup> Teresa S. Ho,<sup>6</sup> Martin L. Miller,<sup>7</sup> Natasha Rekhtman,<sup>8</sup> Andre L. Moreira,<sup>8</sup> Fawzia Ibrahim,<sup>1</sup> Cameron Bruggeman,<sup>9</sup> Billel Gasmri,<sup>10</sup> Roberta Zappasodi,<sup>10</sup> Yuka Maeda,<sup>10</sup> Chris Sander,<sup>7</sup> Edward B. Garon,<sup>11</sup> Taha Merghoub,<sup>1,10</sup> Jedd D. Wolchok,<sup>1,2,10</sup> Ton N. Schumacher,<sup>4</sup> Timothy A. Chan<sup>2,3,5†</sup>

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Tumor Exome Analysis Reveals Neoantigen-Specific T-Cell Reactivity in an Ipilimumab-Responsive Melanoma



tumor cell

## Cancer Immunotherapy Based on Mutation-Specific CD4+ T Cells in a Patient with Epithelial Cancer

Eric Tran,<sup>1</sup> Simon Turcotte,<sup>1\*</sup> Alena Gros,<sup>1</sup> Paul F. Robbins,<sup>1</sup> Yong-Chen Lu,<sup>1</sup> Mark E. Dudley,<sup>1†</sup> John R. Wunderlich,<sup>1</sup> Robert P. Somerville,<sup>1</sup> Katherine Hogan,<sup>1</sup> Christian S. Hinrichs,<sup>1</sup> Maria R. Parkhurst,<sup>1</sup> James C. Yang,<sup>1</sup> Steven A. Rosenberg<sup>1‡</sup>

## Clonal neoantigens elicit T cell immunoreactivity and sensitivity to immune checkpoint blockade

Nicholas McGranahan,<sup>1,2,3\*</sup> Andrew J. S. Furness,<sup>3,4\*</sup> Rachel Rosenthal,<sup>3\*</sup> Sofie Ramskov,<sup>5</sup> Rikke Lyngaa,<sup>2</sup> Sunil Kumar Saini,<sup>2</sup> Mariam Jamal-Hanjani,<sup>3</sup> Gareth A. Wilson,<sup>1,3</sup> Nicolai J. Birkbak,<sup>1,3</sup> Crispin T. Hiley,<sup>1,3</sup> Thomas B. K. Watkins,<sup>1,3</sup> Seema Shafi,<sup>3</sup> Nirupa Murugesu,<sup>3</sup> Richard Mitter,<sup>1</sup> Ayse U. Akarca,<sup>1,6</sup> Joseph Linares,<sup>1,6</sup> Teresa

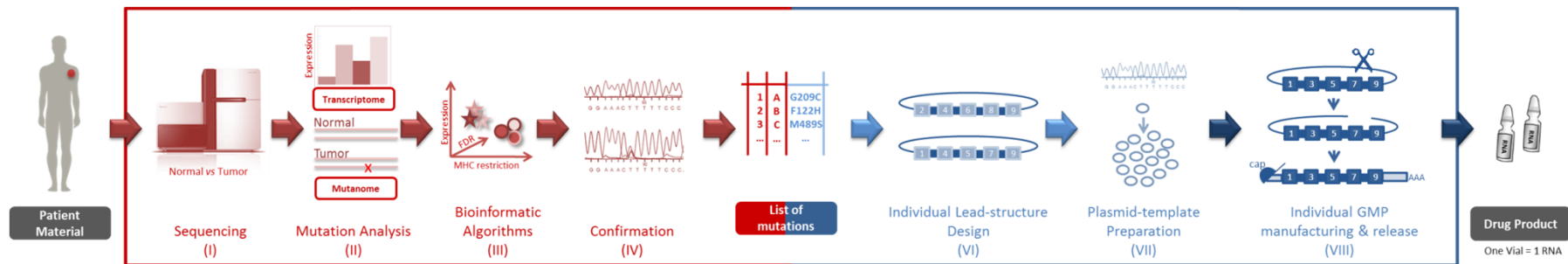
## The response of autologous T cells to a human melanoma is dominated by mutated neoantigens

Volker Lennerz<sup>†</sup>, Martina Fatho<sup>†</sup>, Chiara Gentilini<sup>†</sup>, Roy A. Frye<sup>5</sup>, Alexander Lifke<sup>†</sup>, Dorothea Ferel<sup>†</sup>, Catherine Wölfel<sup>†</sup>, Christoph Huber<sup>†</sup>, and Thomas Wölfel<sup>†¶</sup>

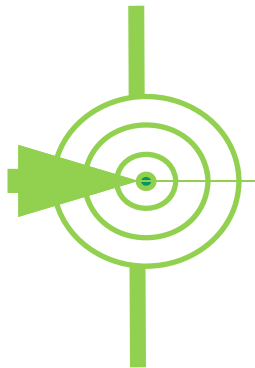
# iNeST using mRNA

Patient- and tumor-specific mutations get utilized...

...to selectively activate the immune system with mRNA cancer vaccines tailored to the individual patient.



Of note: only transfer of data – no material



## mRNA-based iNeST:

- On demand-manufacturing
- Suitable for potentially all tumor indications, also with low incidences
- No negative thymic selection of high-affinity TCRs against epitopes defined by patient- and tumor-specific mutations
- Induction of immune responses with high tumor specificity

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## Translation into clinical testing

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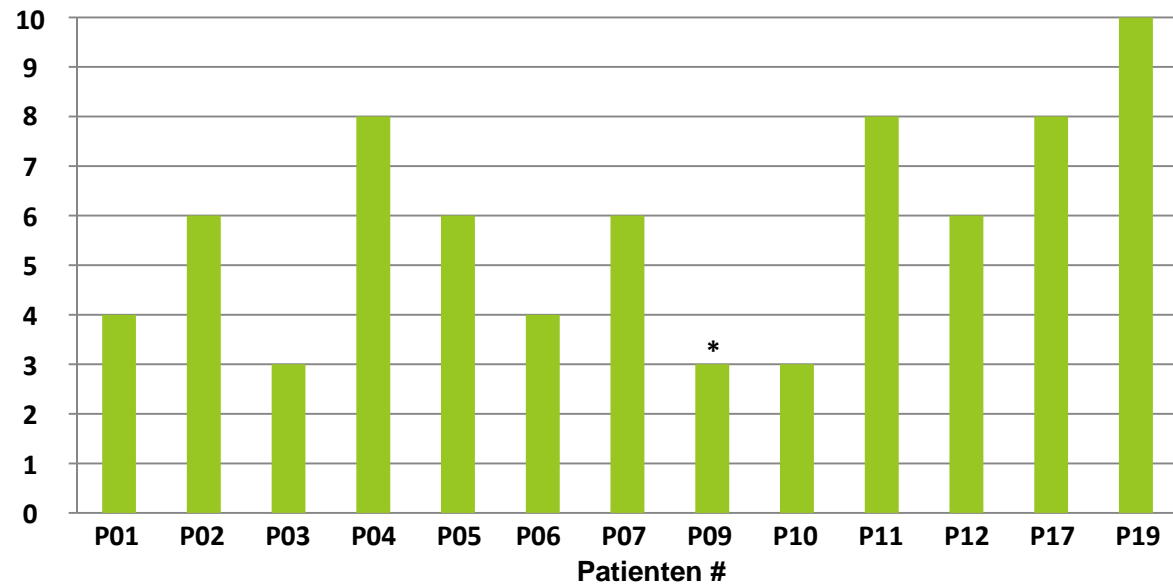
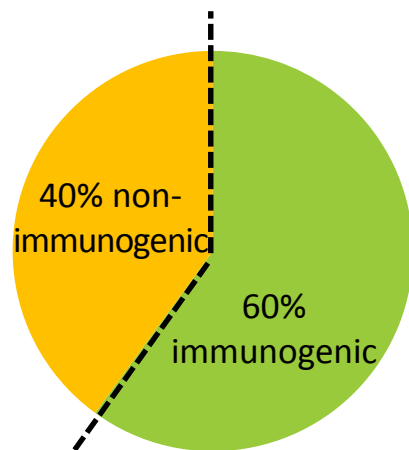
After verifying our mRNA-based iNeST platform preclinically, we started in 2013 the first clinical trial targeting neoepitopes defined by patient- and tumor-specific mutations using mRNA:

- Indication: malignant melanoma stage IIIa - IV
- Therapy: two mRNAs encoding in total ten neoepitopes locally injected into lymph nodes
- Dose: 0.5 or 1.0 mg of each RNA with eight applications within six weeks
- Number of patients: 13
- Primary endpoints: safety, adverse reactions and tolerability profile of multiple dosing with IVAC®
- Secondary endpoints: treatment-induced antigen-specific immune responses



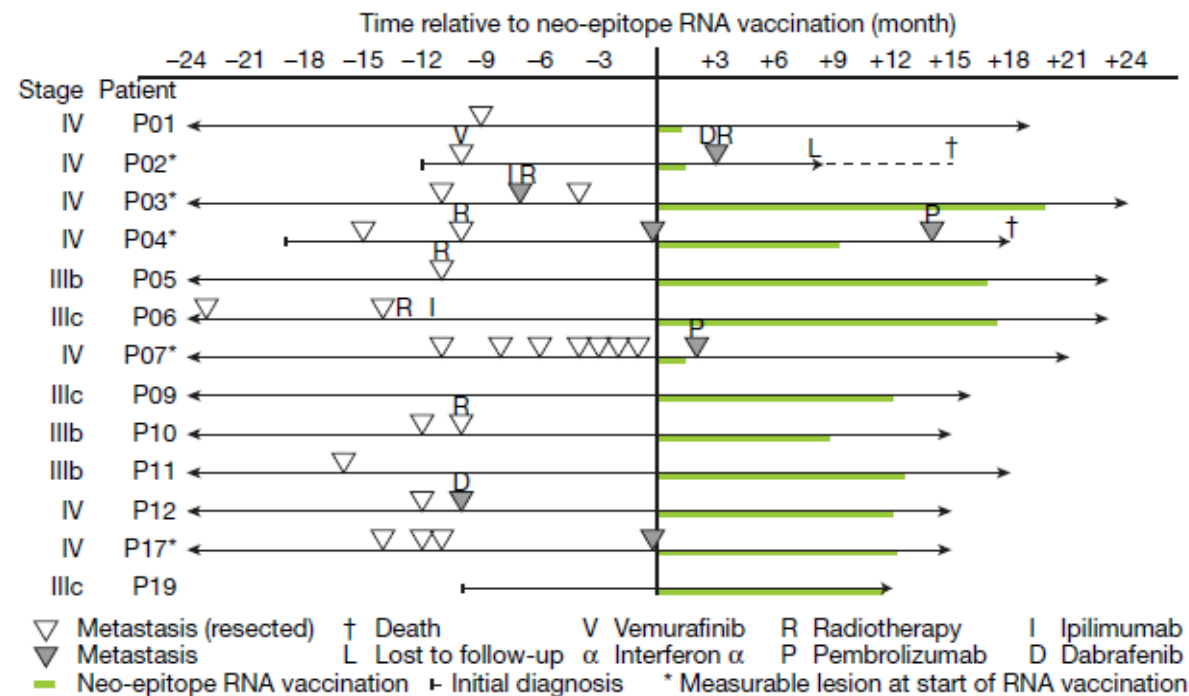
# Immunogenicity of neoepitopes

All 13 patients with a total of 125\* neoepitopes selected for the manufacture of their mRNA-based iNeST have been analyzed:



## Effect on tumor progression

The cumulative sum of metastatic events per month is significantly lower after treatment with our mRNA-based iNeST compared to the time before treatment:



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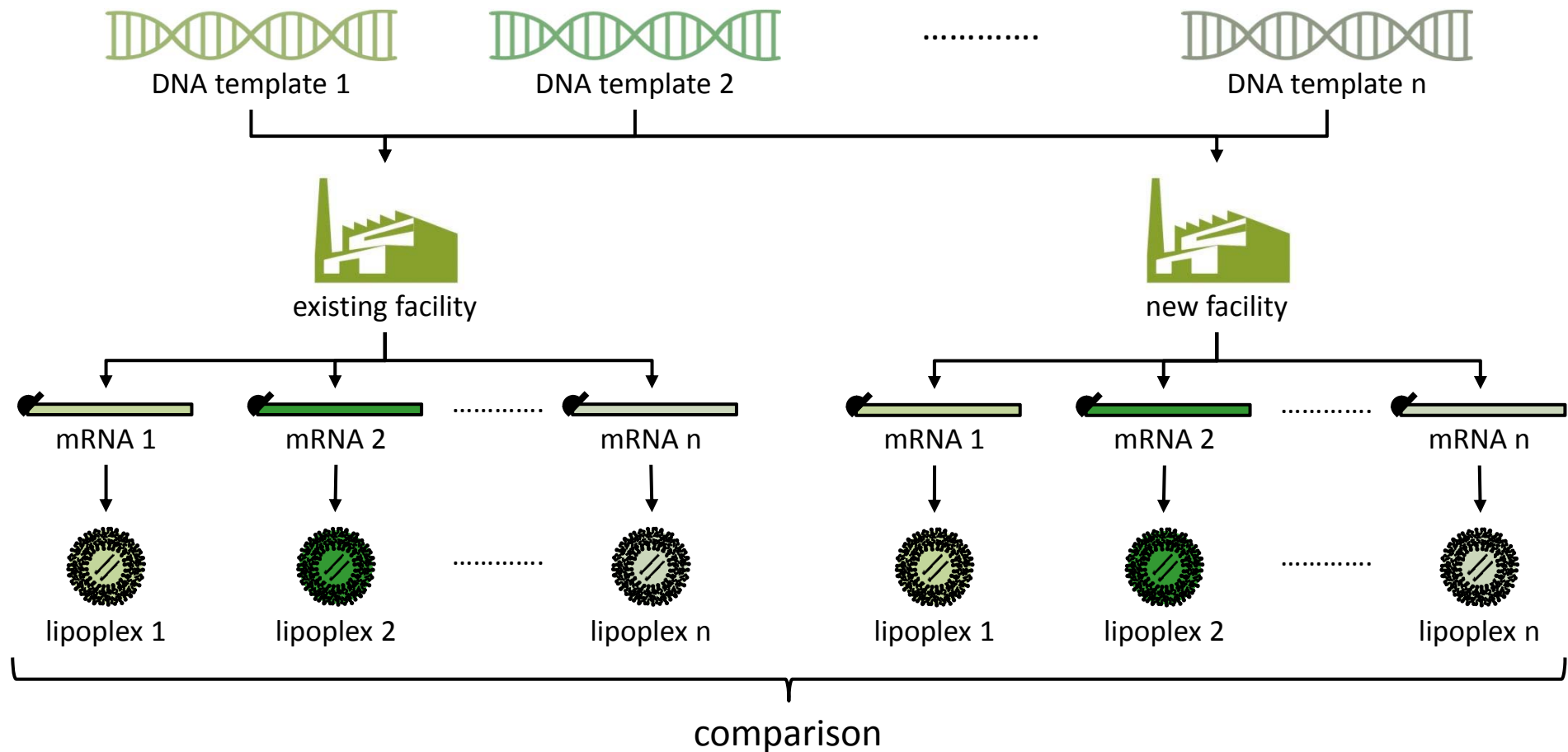
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## New facility for an individualized product

- As for any other transfer of a manufacturing process to a new facility (or actually for any change in the manufacturing process), it is required to demonstrate comparability between pre-change (here: existing facility) and post-change (here: new facility) as laid down e.g. in ICH Q5E
- This is especially challenging for an individualized product due to the fact that a product with a different mRNA sequence is manufactured for each batch
- To tackle this, we choose the following approach:
  - Definition of a set of “reference DNA templates” (i.e. the starting material that defines the individual sequence of each mRNA batch)
  - Manufacturing of mRNA drug substances and corresponding drug products from respective DNA template batches using a “split-stream” approach
  - Comparison of the drug substance and drug product batches manufactured at the two sites

# „Split-stream“ approach for comparability



## Considerations for the reference DNA templates

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- Design of a set of sequences that bridge the sequence space of potential patient-specific sequences (including worst case and best case scenarios as well as typical sequences)
- Factors to take into considerations might include:
  - Sequence length (defined by number and length of individual neoepitopes)
  - GC-content (due to amino acid [and thus the corresponding codon triplet] distribution)

# Approach for comparison

- Different levels, what to compare:
  - Drug substances (i.e. mRNAs) and drug products (i.e. lipoplexes)
  - Pair-wise comparison of mRNA and lipoplex batches from DNA templates 1, 2, ..., and n
  - Comparison of all mRNA and lipoplex batches from existing and new facility
- Parameters to compare:
  - All parameters that are analyzed for release testing of drug substance and drug product (e.g. RNA content, RNA integrity, particle size, potency)
  - Further parameters that are part of the extended characterization (e.g. residuals not tested for every batch)
  - Stability (with initial read-out based on accelerated and stress conditions)





## Summary

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- mRNA is a promising therapeutic platform technology for multiple indications
- mRNA is ideally suited for individualized therapeutics
- A first-in-concept clinical study with our mRNA-based iNeST concept has demonstrated feasibility and signs of efficacy for a truly individualized treatment of patients
- In parallel, we have developed a liposome-based mRNA formulation that allows intravenous delivery with enhanced immune stimulation
- For further clinical development, a semi-automated manufacturing process and streamlined analytical testing, which allow a shortened “turn-around-time” from receiving the tumor sample to shipping the product back to the clinic, has been established
- As part of our efforts to increase capacity, a new facility has been set-up, for which comparability for manufacturing of our individualized, mRNA-based product has been verified

## Acknowledgements

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- Patients of the clinical studies
- The **Genentech** and **BIONTECH**  iNeST teams  
*A Member of the Roche Group*