

Pandemic Preparedness: Regulatory Agility in the Era of COVID-19

Jason Fernandes, Ph.D.

Biologic and Radiopharmaceutical Drugs Directorate, Health Canada

*The views and opinions expressed herein do not represent the official policy or perspective of Health Canada



Outline

- Expedited review pathways in Canada
- CMC challenges for accelerated clinical development
- How can “platform” technologies help expedite vaccine development?
- How can regulators be “agile” in a pandemic?

Expedited Review Pathways in Canada



YOUR HEALTH AND SAFETY... OUR PRIORITY.

Expedited Review Pathways

- Priority Review
 - Fast-tracked review (25 days screening, 180d review) for New Drugs intended for the treatment, prevention, or diagnosis of severe, life-threatening, or severely debilitating diseases or conditions
 - <https://www.canada.ca/en/health-canada/services/drugs-health-products/drug-products/applications-submissions/guidance-documents/priority-review/drug-submissions.html>
- Access to Drugs in Exceptional Circumstances Pathway
 - Urgent Public Health Need identified by federal/provincial/territorial Chief Public Health Officer
 - Must have received market authorization in Europe, Switzerland, or USA
 - Does not grant market authorization in Canada
- Special Access Programme
 - Initiated by HCP
 - Access for drugs to treat patients with serious/life-threatening conditions where conventional tx failed/are unavailable

COVID-19 Interim Order

Interim Order Respecting the Importation, Sale and Advertising of Drugs for Use in Relation to COVID-19

Whereas the Minister of Health believes that immediate action is required to deal with a significant risk, direct or indirect, to health, safety or the environment;

Therefore, the Minister of Health, pursuant to subsection 30.1(1) ¹ of the *Food and Drugs Act* ², makes the annexed *Interim Order Respecting the Importation, Sale and Advertising of Drugs for Use in Relation to COVID-19*.

Ottawa, September 16, 2020

Minister of Health

Patricia Hajdu

<https://www.canada.ca/en/health-canada/services/drugs-health-products/covid19-industry/drugs-vaccines-treatments/interim-order-import-sale-advertising-drugs.html>

- Provides flexibility for regulatory requirements for filing
 - A similar IO is in place for clinical trials (signed May 23, 2020)
- Similar approach to Canada's response to the H1N1 pandemic

COVID-19 Interim Order

- Normal NDS pathway requires substantial evidence of clinical effectiveness, detailed reports of tests made to establish safety for the purpose and under conditions of use recommended
 - No rolling review
 - Can use foreign reviews in our review but no pathway for approval based on foreign decisions
 - Limited authority to compel information post-authorization
- IO pathway: Sponsor required to submit known information regarding CMC, safety, and efficacy
 - No cost recovery; no formal performance standards
 - A distinct pathway for drugs approved by a trusted foreign regulatory authority
 - Allows for rolling submissions
 - Authority to compel information/material (including samples) both pre- and post-authorization

Operational Considerations

Hope is that the IO provides a more flexible pathway, **fosters communication between HC and sponsors**, and will help make COVID-19 vaccines available to Canadians in the shortest time possible.

CMC Challenges for Clinical Vaccine Development

Build Quality in Early!!



CMC Challenges for Accelerated Clinical Development

- Pandemic = ultra-rapid development
 - Not just product development - global knowledge shifts week to week CMC issues may go beyond the norm
 - What's the mechanism of action?
 - What's the relevant animal immunogenicity/challenge model?
 - How are you assaying your molecule/clinical endpoints?
- In a rush, important not to rush to FIH trials
 - Proof of concept, especially for novel products/processes
 - Tie to immunogenicity endpoints, correlates of protection (if available)
 - Does the antigen design match the proposed MoA?
 - Correlation between *in vitro* and *in vivo* assays
 - Protection/disease enhancement

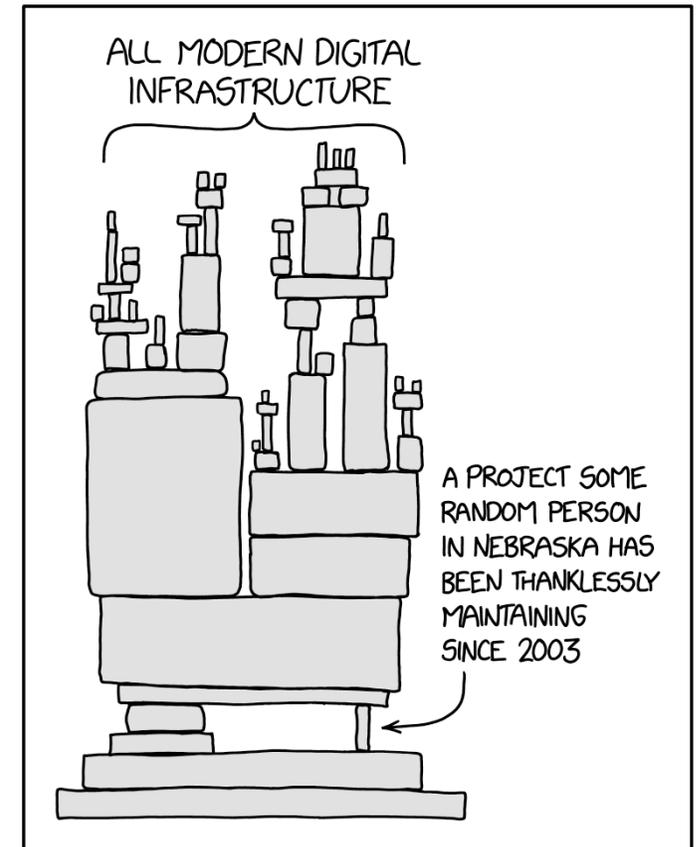
CMC Challenges for Accelerated Clinical Development

- Unqualified/unvalidated assays
 - Products with unique testing reagent requirements
 - Critical element for early scrutiny
- Formulation changes during development
 - Specifications (changes to posology, bridging between studies)
 - Stability (what assays, what conditions)
- For new products, product/process knowledge is often limited
 - Especially true for smaller manufacturers
 - Attribute criticality, process parameters
 - Wide acceptance criteria/specifications

How can Regulators Support Expedited Clinical Development

- Communicate expectations early and often
- Provide consistent guidance, published where possible
- Request information early, especially from smaller sponsors
 - Formulation, assays
 - Forced degradation studies
 - Container closure compatibility
- Even in a pandemic, expediency isn't worth sacrificing quality

“Dependency”



<https://xkcd.com/2347/>

What can platforms do for you?

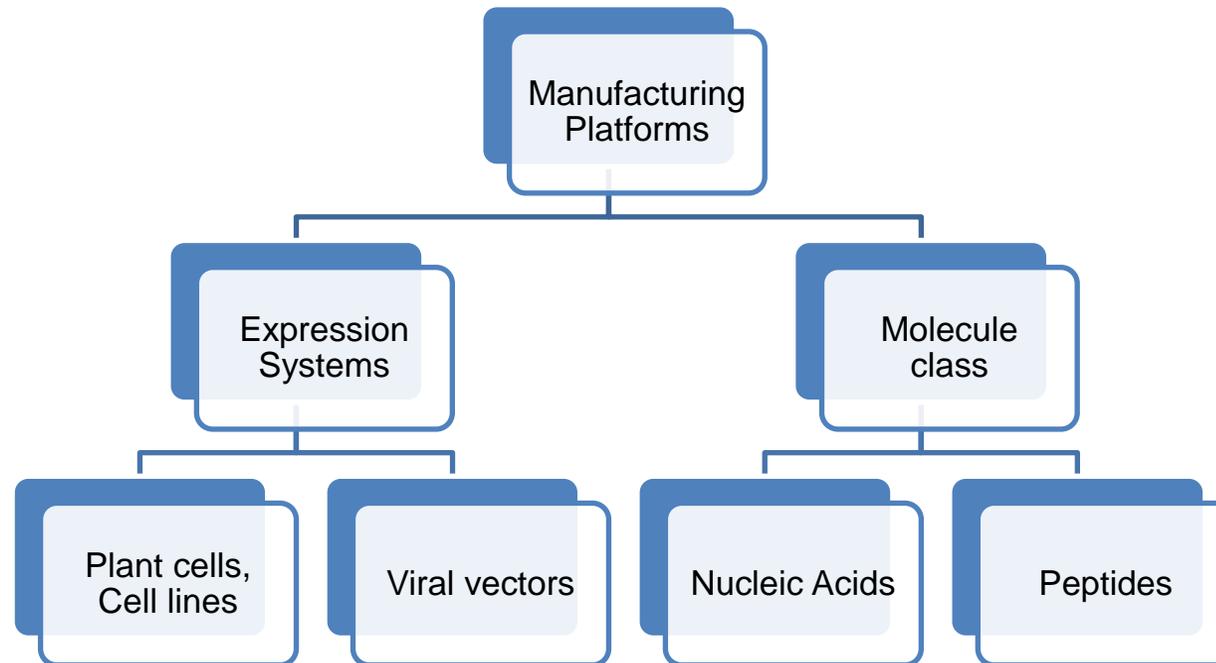
YOUR HEALTH AND SAFETY... OUR PRIORITY.



Platforms can Expedite Authorization/Licensure

CEPI: “A technology was defined as a platform if an underlying, nearly identical mechanism, device, delivery vector, or cell line was employed for multiple target vaccines”

- *Vaccine Platforms: State of the Field and Looming Challenges*, Center for Health Security



How can Platforms Speed Development

Process

- Validated unit operations (predictable CPPs, CQAs)
- Rapid phase-to-phase process improvement
- Predictable yields and scale-up

Safety

- Safety record of platform-related impurities
- Qualification of cell banks, reagents
- Clinical experience with adjuvants

Control

- Translating specifications
- Applicability of existing assay validations
- Stability, container-closure compatibility

Platforms: Is there anything they can't do?

Quite a lot, actually...

- Good: “Hot-swapping” antigens, sponsor has substantial experience
 - **shared mechanism of action, clear proof of concept, stability**
- Bad: Limited pre-clinical/clinical development history, but CMC experience
 - **MoA limitations, but supported by good quality (or vice versa)**
- Ugly: Broad similarities to other products, little (or no!) manufacturing/clinical experience
 - **Unproven MoA, little to no CMC/clinical development data**

The caveat...

“Regulatory Agencies License Products, Not Platforms”

Risk-based decisions are supported by data, not concepts

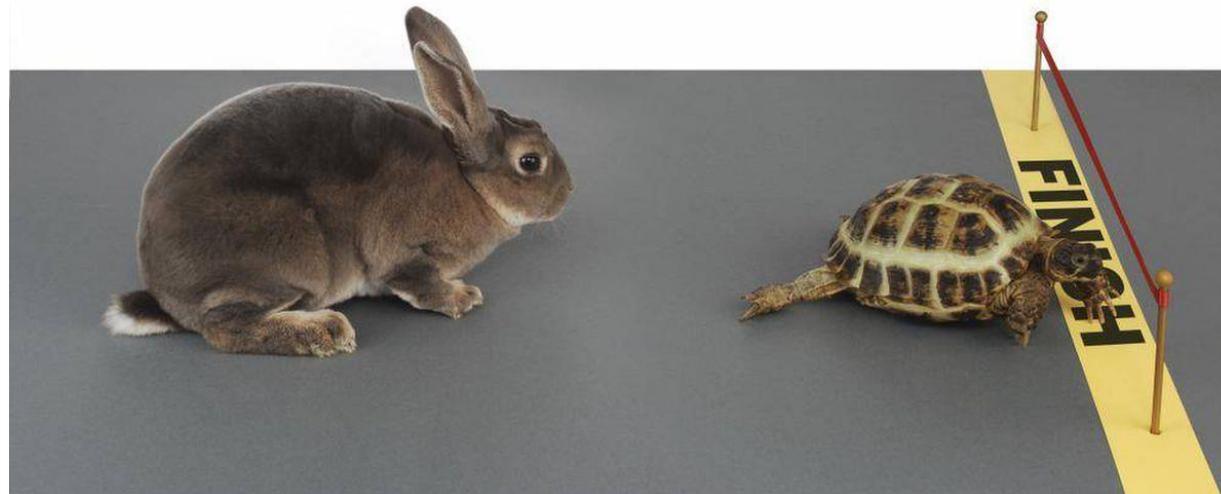
Platform technologies can make a regulator’s job easier and get products to market faster, IF they help fill in gaps for the data you need!



Platforms, we hardly knew ye

- Does platform knowledge:

- Reflect and validate proposed mechanism of action?
- Demonstrate control of a highly similar process?
- Show pre-clinical/clinical experience with a highly similar formulation?
- Indicate control of materials used in manufacture?
- Help predict stability?
- Inform on quality systems?



How can regulators be agile?



YOUR HEALTH AND SAFETY... OUR PRIORITY.

Regulatory Agility = Regulatory Flexibility

- Guidance documents – official and targeted, ad hoc advice
- Emphasize phase-appropriate CMC concerns
 - Front-loading clinically-relevant control
 - Back-loading characterization/validation for licensure
- Reference standard qualification for key assays
 - Is there a plan in place?
- Container closure systems, multi-dose considerations
 - Supply constraints
 - In-use stability



Front-loading Safety and Efficacy

- Identify criticality for early phases of development:
 - Proof of concept
 - WHO International Serology standard
 - Control of materials
 - Novel manufacturing process?
 - Control assays for potency
 - ASAP
 - Forced degradation studies
 - Links back to control assays
 - Impurities
 - Expected/detected
 - Container closure compatibility
 - Supply issues

Back-loading control

- How do you balance risk/benefit due to data gaps?
 - Leverage platform data
 - Plan, plan, plan!
 - Comparability
 - Scale up/scale out
 - Assay validation
 - Key assays should be validated
 - Assay transfers
 - PPQ
 - Supportive data
 - PPQ Protocols
 - Stability
 - Informed by clinical trial material

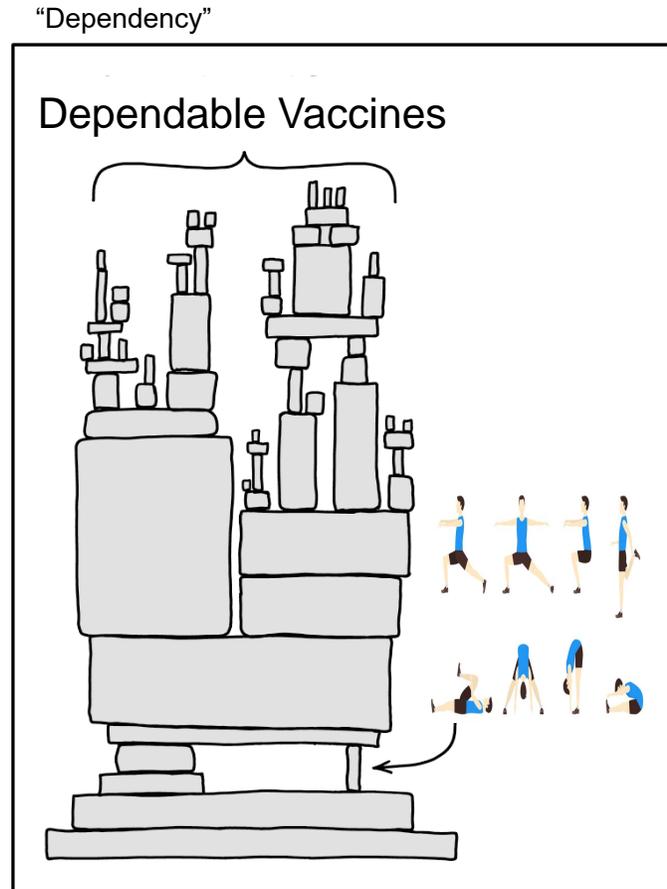
Risk-based decisions are supported by data, not concepts

Pandemic development challenges scrutiny

- Time crunch (sponsors + regulators)
- Knowledge gaps
- Material/supply chain challenges
- Fewer opportunities for input

As a regulator, recognizing how and where to be flexible is the key to supporting expedited development.

Know what your tools are!
Build Quality In Early!



Modified from: <https://xkcd.com/2347/>

Thank you!



YOUR HEALTH AND SAFETY... OUR PRIORITY.